

## Algebraic (2, 2)-transformation groups

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**Abstract.** In this paper we determine all algebraic transformation groups  $G$ , defined over an algebraically closed field  $k$ , which operate transitively, but not primitively, on a variety  $\Omega$ , subject to the following conditions. We require that the (non-effective) action of  $G$  on the variety of blocks is sharply 2-transitive, as well as the action on a block  $\Delta$  of the normalizer  $G_\Delta$ . Also we require sharp transitivity on pairs  $(X, Y)$  of independent points of  $\Omega$ , i.e. points contained in different blocks.

Although classifications of imprimitive permutation groups first appeared at the beginning of the last century (see [10]) and imprimitive actions play an important role in geometry, the corresponding literature is less well developed than that concerning primitive groups. For finite groups there are some classification results (see for instance [1], [5] and [11]). In [1], by using wreath products, the best-known construction principle for obtaining imprimitive groups, a classification is achieved of finite imprimitive groups acting highly transitively on blocks and satisfying conditions very common in geometry.

The aim of the present paper is to obtain classifications for infinite imprimitive groups belonging to well-studied categories. We start with an imprimitive algebraic group  $G$ , over an algebraically closed field  $k$ , operating on an algebraic variety  $\Omega$  of positive dimension in such a way that the induced actions on the set  $\bar{\Omega}$  of blocks and on a block  $\Delta$  are both sharply 2-transitive. Moreover we require that the group acts sharply transitively on pairs of points lying in different blocks. The latter condition, frequently occurring in geometry (see for instance [2]), provides a manageable class of groups. For the classification we do not require that the group actions are bi-regular morphisms but merely that the orbit maps be separable morphisms. It turns out that  $G$  is the semidirect product of a 3-dimensional unipotent connected group  $G_u$  by a 1-dimensional connected torus  $T$ , both acting on the points of an affine plane over  $k$  with a full set of parallel lines as the blocks.

There are two subgroups which play a fundamental role for the classification: the kernel  $G_{[\bar{\Omega}]}$  of the representation on  $\bar{\Omega}$  (the so-called *inertia subgroup*) and its stabilizer  $G_{[\bar{\Omega}]_o}$  of a fixed point  $O$ , which turns out to be even the pointwise stabilizer of

the block containing  $O$ . There exists a  $G$ -invariant transversal  $L$  of  $G$  with respect to  $G_{[\bar{\Omega}]_O}$  which is essential for the classification. Moreover  $L$  is a subgroup precisely if  $G_u/\mathfrak{z}(G_u)$  is commutative, and then  $G_u/\mathfrak{z}(G_u)$  is even a vector group. Given the structure of  $L$ , the classification (see Theorem 15) depends on four (not necessarily independent) integer parameters which distinguish the isomorphism class of  $G$ . If  $\text{char } \mathbf{k} > 0$ , then for suitable values of the integer parameters  $L$  can be both a vector group and a non-commutative group.

We refer to [13] for well-known results about non-affine algebraic groups and to [9] for facts about affine algebraic groups.

**§1.** Throughout the paper  $G$  will denote an algebraic group defined over an algebraically closed field  $\mathbf{k}$ , operating effectively on the points of a variety  $\Omega$  of positive dimension. We assume that the orbit maps  $g \mapsto g(X)$  are separable morphisms  $G \rightarrow \Omega$  and that  $G$  acts transitively with a non-trivial system of imprimitivity  $\bar{\Omega}$ . We define

- the *normalizer*  $G_\Delta := \{g \in G : g(\Delta) = \Delta\}$  of  $\Delta \in \bar{\Omega}$ ,
- the *centralizer*  $G_{[\Delta]} := \{g \in G_\Delta : g(X) = X \text{ for all } X \in \Delta\}$  of  $\Delta \in \bar{\Omega}$ ,
- the *inertia* subgroup  $G_{[\bar{\Omega}]} := \{g \in G : g(\Delta) = \Delta \text{ for all } \Delta \in \bar{\Omega}\}$ .

We assume the following transitivity hypotheses:

- (1)  $G_\Delta/G_{[\Delta]}$  acts sharply 2-transitively on  $\Delta$ ;
- (2)  $G/G_{[\bar{\Omega}]}$  acts sharply 2-transitively on  $\bar{\Omega}$ ;
- (3)  $G$  acts sharply transitively on  $\Lambda := \{(X, Y) \in \Omega^2 : \Delta_X \neq \Delta_Y\}$ , where  $\Delta_Z \in \bar{\Omega}$  denotes the block containing  $Z \in \Omega$ .

We call a triple  $\mathbf{G} = (G, \Omega, \bar{\Omega})$  satisfying these hypotheses a *(2, 2)-imprimitive algebraic group*. Since the stabilizer of a point is not trivial, conditions (3) and (1) guarantee that  $G$  has trivial centre. Hence  $G$  must be an affine algebraic group.

**Proposition 1.** (i) *Every block  $\Delta \in \bar{\Omega}$  is closed and  $G_\Delta = G_{[\bar{\Omega}]}G_X$  for any  $X \in \Delta$ .*  
 (ii) *The inertia subgroup  $G_{[\bar{\Omega}]}$  is closed.*

*Proof.* Every block  $\Delta \in \bar{\Omega}$  is a constructible set as the union, for  $X \in \Delta$ , of two  $G_X$ -orbits,  $\{X\}$  and  $\Delta \setminus \{X\}$ , and so  $\Delta$  is closed by [7, Theorem 1.6]. Then  $G_{[\bar{\Omega}]}$  is the intersection of all closed subgroups  $G_\Delta$ . Finally  $G_\Delta = G_{[\bar{\Omega}]}G_X$  follows from the fact that the normal subgroup  $G_{[\bar{\Omega}]}$  acts transitively on  $\Delta$ .  $\square$

**Remark 2.** As orbit maps are separable morphisms  $G \rightarrow \Omega$ , by the universal mapping property we may identify  $\Omega$  with the homogeneous space  $G/G_O$  for a fixed stabilizer  $G_O = \{g \in G : g(O) = O\}$ ,  $O \in \Omega$ . Likewise, in view of Proposition 1, we may identify  $\bar{\Omega}$  with the homogeneous space  $G/G_\Delta$ .

**Proposition 3.** *For all  $X \in \Omega$  the centralizer*

$$G_{[\bar{\Omega}]_X} = \{g \in G_{[\bar{\Omega}]} : g(X) = X\}$$

*is contained in  $G_{[\Delta_X]}$ , and  $G_{[\bar{\Omega}]} = G_{[\bar{\Omega}]_X} \times G_{[\bar{\Omega}]_Y}$  for any  $(X, Y) \in \Lambda$ .*

*Proof.*  $G_{[\bar{\Omega}]_X}$  acts (effectively and) sharply transitively on the block  $\Delta_Y$ , the centralizer  $G_{X, Y}$  being trivial. If blocks contain finitely many points the order of  $G_{[\bar{\Omega}]_X}$  is  $|\Delta|$ . In such a case  $G_{[\bar{\Omega}]_X}$  operates non-effectively on  $\Delta_X \setminus \{X\}$  with orbits of the same length  $\theta$ , since  $G_{[\bar{\Omega}]_X, X'} = G_{[\bar{\Omega}]} \cap G_{[\Delta_X]}$  for any  $X' \in \Delta \setminus \{X\}$ . But  $\gcd(|\Delta| - 1, |\Delta|) = 1$  forces  $\theta = 1$ .

If blocks contain infinitely many points,  $G_{[\bar{\Omega}]_X}$  acts on  $\Delta_Y$  as the kernel of the Frobenius group  $G_{\Delta_Y}/G_{[\Delta_Y]}$ . So  $G_{[\bar{\Omega}]_X}$  is a 1-dimensional connected unipotent group by [7, Theorem 1.10], and hence must act trivially on  $\Delta_X$  by [8, Proposition 1]. Therefore in any case  $G_{[\bar{\Omega}]_X} < G_{[\Delta_X]}$  and this forces  $G_{[\bar{\Omega}]_X}$  to be a normal subgroup of  $G_{[\bar{\Omega}]}$ . The last claim follows from the sharp transitivity of  $G$  on  $\Lambda$ .  $\square$

**Proposition 4.** (a)  $\bar{\Omega}$  contains infinitely many blocks and every block contains infinitely many points.

- (b)  $G_O$  is the semidirect product of the 1-dimensional connected unipotent subgroup  $G_{[\bar{\Omega}]_O}$  by a 1-dimensional connected torus  $T$ .
- (c)  $G/G_{[\bar{\Omega}]}$  is a 2-dimensional Frobenius algebraic group with complement isomorphic to  $T$ .
- (d) For all  $\Delta \in \bar{\Omega}$ ,  $G_\Delta/G_{[\Delta]}$  is a 2-dimensional Frobenius algebraic group whose 1-dimensional kernel is isomorphic to  $G_{[\bar{\Omega}]_X}$  for any  $X \in \Omega \setminus \Delta$ .

*Proof.* The group  $G_O/G_{[\bar{\Omega}]_O}$  acts effectively and sharply transitively on  $\bar{\Omega} \setminus \{\Delta_O\}$  and maps surjectively onto  $G_O/G_{[\Delta_O]}$  by Proposition 3. Thus if  $|\bar{\Omega}| < \infty$  then  $|\Delta_O| < \infty$  and  $\Omega$  should be finite. So there are infinitely many blocks and the kernel of the Frobenius algebraic group  $G/G_{[\bar{\Omega}]}$  is a 1-dimensional connected unipotent group (from [7, Theorems 1.8, 1.10]) with a 1-dimensional connected torus as the complement  $G_{\Delta_O}/G_{[\bar{\Omega}]} = G_{[\bar{\Omega}]}G_O/G_{[\bar{\Omega}]} \simeq G_O/G_{[\bar{\Omega}]_O}$  (by [8, Proposition 1]).

Finally the non-trivial factor group  $G_O/G_{[\Delta_O]}$ , as a continuous epimorphic image of  $G_O/G_{[\bar{\Omega}]_O}$ , must be a 1-dimensional connected torus, as well. So  $G_O$  must split over the unipotent group  $G_{[\bar{\Omega}]_O}$  by a 1-dimensional connected torus  $T$ .  $\square$

**Proposition 5.**  $G$  is a solvable connected affine group of dimension 4 and  $G$  is the semidirect product of its unipotent radical  $G_u$  by the torus  $T$ . Moreover the centre  $\mathfrak{z}(G_u)$  of  $G_u$  is contained in  $G_{[\bar{\Omega}]}$  and for any  $X \in \Omega$  we have  $G_{[\bar{\Omega}]} = \mathfrak{z}(G_u) \times G_{[\bar{\Omega}]_X}$ .

*Proof.* As  $G_{[\bar{\Omega}]}$  is a 2-dimensional connected unipotent group by Propositions 4(d) and 3 and  $G/G_{[\bar{\Omega}]}$  is a connected solvable 2-dimensional group by Proposition 4(c), the unipotent radical  $G_u$  has codimension 1 and acts transitively on  $\Omega$ . We have

$\mathfrak{z}(G_u) < G_{[\bar{\Omega}]}$  since  $\mathfrak{z}(G_u)$  centralizes each  $G_{[\bar{\Omega}]_x}$ . Finally  $\mathfrak{z}(G_u)$  is transitive on every block  $\Delta$ , hence sharply transitive, the group  $G_\Delta/G_{[\Delta]}$  being primitive.  $\square$

**Remark 6.** Denote by  $g_u$  and  $g_s$  the images of  $g \in G$  under the projections  $G_u \times T \rightarrow G_u$  and  $G_u \times T \rightarrow T$ , respectively. The mapping  $\pi : G \rightarrow G_u/G_{[\bar{\Omega}]_o}$  with  $\pi(g) = g_u G_{[\bar{\Omega}]_o}$  turns out to be a separable morphism of algebraic varieties. The fibres of  $\pi$  are precisely the cosets  $gG_o$ , so  $gG_o \mapsto g_u G_{[\bar{\Omega}]_o}$  yields an isomorphism  $G/G_o \rightarrow G_u/G_{[\bar{\Omega}]_o}$ . Therefore we may take the homogeneous space  $G_u/G_{[\bar{\Omega}]_o}$  as  $\Omega$  and

$$(g, hG_{[\bar{\Omega}]_o}) \mapsto hg_s^{-1}G_{[\bar{\Omega}]_o} \quad (g \in G, h \in G_u)$$

as the action of  $G$  on  $\Omega$  since  $(g_1g_2)_u = (g_1)_u(g_1)_s(g_2)_u(g_1)_s^{-1}$ . In particular  $\Omega \simeq G_u/G_{[\bar{\Omega}]_o}$  is a 2-dimensional (irreducible affine) variety with

$$\bar{\Omega} = \bigcup_{g \in G_u} \Delta_{g(o)} \simeq \bigcup_{g \in G_u} g\mathfrak{z}(G_u)G_{[\bar{\Omega}]_o}.$$

**§2.** Let  $G = U \rtimes T$  be a semidirect product of an  $n$ -dimensional connected unipotent group  $U$  by a 1-dimensional connected torus  $T$ . According to Serre [14, p. 72], the group  $U$  has a representation on the affine space  $\mathbf{k}^n$  such that the subspaces

$$U_i = \{(x_1, \dots, x_n) \in \mathbf{k}^n : x_{i+1} = \dots = x_n = 0\}$$

are normal subgroups of  $G$ , the product is given by

$$\begin{aligned} &(x_1, \dots, x_n)(y_1, \dots, y_n) \\ &= (x_1 + y_1 + \psi_1(x_2, \dots, x_n, y_2, \dots, y_n), \dots, x_{n-1} + y_{n-1} + \psi_{n-1}(x_n, y_n), x_n + y_n), \end{aligned}$$

for suitable polynomials  $\psi_j \in \mathbf{k}[x_j, \dots, x_n, y_{j+1}, \dots, y_n]$ , and the automorphism of  $U$  induced by an element  $\tau \in T$  maps  $(x_1, \dots, x_n)$  to

$$(a_\tau^{e_1} x_1 + \varphi_1^{(\tau)}(x_2, \dots, x_n), \dots, a_\tau^{e_{n-1}} x_{n-1} + \varphi_{n-1}^{(\tau)}(x_n), a_\tau^{e_n} x_n)$$

with  $a_\tau \in \mathbf{k}^*$  depending bi-regularly on  $\tau$ , the map  $\varphi_j^{(\tau)}$  a morphism  $U_n/U_j \rightarrow U_j/U_{j-1}$  and  $e_j$  a fixed integer.

**Lemma 7.** *Let  $n \geq 2$ . Then for any  $\tau \in T$  the morphism  $\varphi_{n-1}^{(\tau)}$  yields a group homomorphism  $U_n/U_{n-1} \rightarrow U_{n-1}/U_{n-2}$ . Moreover we may take as  $\psi_{n-1}$*

- (a) *the zero polynomial, if  $U_n/U_{n-2}$  is a vector group,*
- (b)  $\sum_{i=1}^{p-1} p^{-1} \binom{p}{i} x_n^{ip^r} y_n^{(p-i)p^r}$ , *if  $U_n/U_{n-2}$  is an Abelian group of exponent  $p^2$ ,*
- (c)  $x_n^{p^r} y_n^{p^s}$ , *if  $U_n/U_{n-2}$  is non-commutative,*

where, in cases (b) and (c),  $p = \text{char } \mathbf{k} > 0$ ,  $r$  and  $s$  are non-negative integers such that  $r < s$  and  $e_{n-1} = e_n \deg(\psi_{n-1})$ .

*Proof.* By for instance [6, Lemma 7.1], we may take as  $\psi_{n-1}(x_n, y_n)$  the polynomial

$$\begin{cases} 0 & \text{if } U_n/U_{n-2} \text{ is a vector group,} \\ b \sum_{i=1}^{p-1} p^{-1} \binom{p}{i} x_n^{ip^r} y_n^{(p-i)p^r}, & \text{if } U_n/U_{n-2} \text{ is Abelian but not a vector group,} \\ bx_n^{p^r} y_n^{p^s}, & \text{if } U_n/U_{n-2} \text{ is non-commutative,} \end{cases}$$

for some non-negative integers  $r, s$  with  $r < s$  and a non-zero scalar  $b$ , that may be assumed equal to 1 thanks to the isomorphism

$$(\dots, x_{n-1}, x_n)U_{n-2} \mapsto (\dots, bx_{n-1}, x_n)U_{n-2}.$$

Now since  $\tau$  operates on  $U_n$  as an automorphism group, the co-boundary

$$\delta^1(\varphi_{n-1}^{(\tau)})(x_n, y_n) = \varphi_{n-1}^{(\tau)}(y_n) - \varphi_{n-1}^{(\tau)}(x_n + y_n) + \varphi_{n-1}^{(\tau)}(x_n)$$

is zero if  $U_n/U_{n-2}$  is a vector group and

$$(a_\tau^{e_{n-1}} - a_\tau^{e_n \deg \psi_{n-1}})\psi_{n-1}(x_n, y_n)$$

otherwise. In the latter case the fact that  $\psi_{n-1}$  is not a co-boundary forces each  $a_\tau$  to be a root of the polynomial  $T^{e_n \deg(\psi_{n-1})} - T^{e_{n-1}}$  and this forces the condition  $e_{n-1} = e_n \deg(\psi_{n-1})$ . As a consequence  $\delta^1(\varphi_{n-1}^{(\tau)})$  must in any case be zero, which means that  $\varphi_{n-1}^{(\tau)}$  yields a group homomorphism  $U_n/U_{n-1} \rightarrow U_{n-1}/U_{n-2}$ .  $\square$

**Remark 8.** It follows from [3] that the action of a 1-dimensional torus on a 2-dimensional connected unipotent group  $U$  may be given by  $2 \times 2$  diagonal matrices with entries in  $\mathbf{k}$ . The following lemma, which generalizes both the lemma in [12, p. 109] and [7, Corollary 2.9], shows that this can be done without destroying the group structure of  $U$ .

**Lemma 9.** *Let  $\varphi_2^{(\tau)} = \dots = \varphi_{n-1}^{(\tau)} = 0$  and assume that  $\varphi_1^{(\tau)}$  is a group homomorphism  $U_n/U_{n-1} \rightarrow U_1$ . Then there exists a bi-regular section  $\sigma : U_n/U_{n-1} \rightarrow U_n$  such that  $\sigma(x_n U_{n-1}) = (f(x_n), 0, \dots, 0, x_n)$  with  $\delta^1(f) = 0$  and  $\sigma(U_n/U_{n-1})$  invariant under  $T$ .*

*Proof.* We may suppose that  $\varphi_1^{(\tau)} \in \mathbf{k}[x_n]$  with  $\varphi_1^{(\tau)}(x_n) = \sum_{i \in I, j \in J} c_{ij} a_\tau^j x_n^i$  for some finite sets  $I$  and  $J$  of integers with

$$I = \begin{cases} \{1\}, & \text{if } \text{char } \mathbf{k} = 0, \\ \text{a finite set of } p\text{-powers,} & \text{if } \text{char } \mathbf{k} = p > 0. \end{cases}$$

The product  $\tau_1\tau_2$  of two elements of  $T$  gives

$$\varphi_1^{(\tau_1\tau_2)}(x_n) = a_{\tau_1}^{e_1}\varphi_1^{(\tau_2)}(x_n) + \varphi_1^{(\tau_1)}(a_{\tau_2}^{e_n}x_n),$$

and hence for each  $i \in I$  we have

$$\sum_{j \in J} c_{ij} a_{\tau_1}^j a_{\tau_2}^j = \sum_{j \in J} c_{ij} (a_{\tau_1}^{e_1} a_{\tau_2}^j + a_{\tau_1}^j a_{\tau_2}^{ie_n}).$$

By comparing we infer that just  $c_{i,e_1}$  and  $c_{i,ie_n}$  can occur as non-zero entries. So

$$c_{i,e_1} a_{\tau_1}^{e_1} a_{\tau_2}^{e_1} + c_{i,ie_n} a_{\tau_1}^{ie_n} a_{\tau_2}^{ie_n} = c_{i,e_1} (a_{\tau_1}^{e_1} a_{\tau_2}^{e_1} + a_{\tau_1}^{e_1} a_{\tau_2}^{ie_n}) + c_{i,ie_n} (a_{\tau_1}^{e_1} a_{\tau_2}^{ie_n} + a_{\tau_1}^{ie_n} a_{\tau_2}^{ie_n}),$$

or  $c_{i,e_1} + c_{i,ie_n} = 0$ . Therefore  $\varphi_1^{(\tau)}(x_n) = \sum_{i \in I} c_{i,e_1} (a_{\tau}^{e_1} - a_{\tau}^{ie_n}) x_n^i$  and

$$\left\{ \left( -\sum_{i \in I} c_{i,e_1} x_n^i, 0, \dots, 0, x_n \right) : x_n \in \mathbf{k} \right\}$$

turns out to be  $T$ -invariant with  $\delta^1 : \sum_{i \in I} c_{i,e_1} T^i \mapsto 0$ .  $\square$

Set  $M := \{(0, \dots, 0, x_n) : x_n \in \mathbf{k}\}$  and let  $v = (0, \dots, 0, u) \in M$ .

**Lemma 10.** *Let  $n \geq 3$ . Assume that the centralizer  $\mathfrak{C}_{U_{n-1}}(v)$  of  $v$  in  $U_{n-1}$  satisfies  $\mathfrak{C}_{U_{n-1}}(v) = U_{n-2} \bmod U_{n-3}$  for all  $v \in M$ . Then the automorphism  $\rho_v$  of  $U_{n-1}/U_{n-3}$  induced by conjugation by  $v$  is given by*

$$(\dots, x_{n-2}, x_{n-1}, 0)U_{n-3} \mapsto (\dots, x_{n-2} + u^h x_{n-1}^k, x_{n-1}, 0)U_{n-3}$$

with  $h$  and  $k$   $p$ -powers if  $\text{char } \mathbf{k} = p > 0$  and  $h = k = 1$  otherwise.

*Proof.* As  $U_{n-1}/U_{n-2} \leq 3(U_n/U_{n-2})$ , we have

$$\rho_v : (\dots, x_{n-2}, x_{n-1}, 0)U_{n-3} \mapsto (\dots, x_{n-2} + \sigma(u, x_{n-1}), x_{n-1}, 0)U_{n-3}$$

for some additive polynomial  $\sigma \in \mathbf{k}[u, x_{n-1}]$ , which turns out to be monomial because  $\mathfrak{C}_{U_{n-1}}(v) = U_{n-2} \bmod U_{n-3}$  forces  $\rho_v$  to act fixed-point freely on  $U_{n-2}/U_{n-3}$ . Thus  $\sigma(u, x_{n-1}) = cu^h x_{n-1}^k$  for some integers  $h, k$  and scalar  $c \in \mathbf{k}^*$  that we may assume to be 1, up to the isomorphism

$$(\dots, x_{n-2}, x_{n-1}, x_n)U_{n-3} \mapsto (\dots, x_{n-2}, c^{-1/k} x_{n-1}, x_n)U_{n-3}.$$

Clearly the integers  $h$  and  $k$  have to satisfy the claimed conditions.  $\square$

For the rest of the paper we require the torus  $T$  to act sharply transitively on  $U_n/U_{n-1}$ . This means

$$e_n = \begin{cases} 1, & \text{if } \text{char } \mathbf{k} = 0, \\ \text{a } p\text{-power,} & \text{if } \text{char } \mathbf{k} = p > 0. \end{cases} \tag{1}$$

**§3.** Now we go back to the (2, 2)-imprimitive algebraic group  $\mathbf{G} = (G, \Omega, \bar{\Omega})$ . This section is devoted to the case where the 2-dimensional factor group  $G_u/\mathfrak{z}(G_u)$  is commutative.

**Proposition 11.**  $G_u/\mathfrak{z}(G_u)$  is a vector group.

*Proof.* Otherwise we have  $\text{char } \mathbf{k} \neq 0$  and  $G_{[\bar{\Omega}]}/\mathfrak{z}(G_u)$  coincides with the unique 1-dimensional connected algebraic subgroup of  $G_u/\mathfrak{z}(G_u)$ . Consequently  $G_{[\bar{\Omega}]}$  is the unique 2-dimensional connected algebraic normal subgroup of  $G_u$  containing  $\mathfrak{z}(G_u)$ . Furthermore  $G_u/\mathfrak{z}(G_u)$  commutative and  $\dim \mathfrak{z}(G_u) = 1$  require that  $\mathfrak{z}(G_u)$  is the commutator subgroup of  $G_u$ , hence that each commutator morphism  $\sigma_g : x \mapsto [g, x]$  is a group homomorphism  $G_u \rightarrow \mathfrak{z}(G_u)$ , whose kernel must have dimension at least 2. So  $\ker \sigma_g \supseteq G_{[\bar{\Omega}]}$  for any  $g \in G_u$ , a contradiction since  $\bigcap_{g \in G_u} \ker \sigma_g = \mathfrak{z}(G_u)$ .  $\square$

**Proposition 12.** There exists a  $T$ -invariant normal subgroup  $L$  of  $G_u$  containing the centre  $\mathfrak{z}(G_u)$  and  $G_u = L \rtimes G_{[\bar{\Omega}]_O}$ .

*Proof.* By [12, Lemma on p. 109] the  $T$ -invariant subgroup  $G_{[\bar{\Omega}]}/\mathfrak{z}(G_u)$  of  $G_u/\mathfrak{z}(G_u)$  has a  $T$ -invariant complement, say  $L/\mathfrak{z}(G_u)$  for some  $T$ -invariant normal subgroup  $L$  of  $G_u$  containing  $\mathfrak{z}(G_u)$ .  $\square$

In the notation of Section 2 we may take  $U_1 = \mathfrak{z}(G_u)$ ,  $U_2 = G_{[\bar{\Omega}]}$ ,  $U_3 = G_u$ . In addition we may choose

$$G_{[\bar{\Omega}]_O} = \{(0, x_2, 0) : x_2 \in \mathbf{k}\},$$

the subgroup  $G_{[\bar{\Omega}]_O}$  being  $T$ -invariant. Since the normal subgroup  $L$  of  $G$  is not contained in  $U_2$ , we may also put

$$L = \{(x_1, 0, x_3) : x_1, x_3 \in \mathbf{k}\}.$$

Thus the product  $(x_1, 0, x_3)(y_1, 0, y_3)$  of two elements of  $L$  is given by

$$(x_1 + y_1 + \beta(x_3, y_3), 0, x_3 + y_3)$$

and by Lemma 7 we may take

$$\beta(x_3, y_3) = \begin{cases} 0, & \text{if } L \text{ is a vector group,} \\ \sum_{i=1}^{p-1} p^{-1} \binom{p}{i} x_3^{ip^r} y_3^{(p-i)p^r}, & \text{if } L \text{ is commutative of exponent } p^2, \\ x_3^{p^r} y_3^{p^s}, & \text{if } L \text{ is non-commutative,} \end{cases} \quad (2)$$

for some integers  $r, s$  with  $0 \leq r < s$ . Moreover, an element  $v = (0, 0, u) \in L$  moves the block  $\Delta_O$  to a different block  $\Delta_{v(O)}$  (from Remark 6), so  $v$  centralizes no element

in  $G_{[\bar{\Omega}]_O}$ , the intersection  $G_{[\bar{\Omega}]_O} \cap G_{[\bar{\Omega}]_{l(O)}}$  being trivial. Then Lemma 10 applies and, up to the isomorphism  $(x_1, x_2, x_3) \mapsto (x_1, c^{h_2^{-1}}x_2, x_3)$ , we may claim

**Proposition 13.** *The product  $(x_1, x_2, x_3)(y_1, y_2, y_3)$  in  $G_u$  may be defined by*

$$(x_1 + y_1 + y_2^{h_2}x_3^{h_3} + \beta(x_3, y_3), x_2 + y_2, x_3 + y_3),$$

where  $\beta$  is given by (2) and each exponent  $h_i$  is a  $p$ -power if  $\text{char } \mathbf{k} = p > 0$ , and  $h_i = 1$  otherwise.

As we observed in Remark 8, there is no loss of generality if we assume that the action of the torus  $T$  on the affine plane  $L$  is given by  $2 \times 2$  diagonal matrices. But  $G_{[\bar{\Omega}]_O}$  occurs as a further  $T$ -invariant subgroup of dimension 1, so the diagonal action of each  $\tau \in T$  extends to the whole group  $G_u$  via

$$(x_1, x_2, x_3) \mapsto (a_\tau^{e_1}x_1, a_\tau^{e_2}x_2, a_\tau^{e_3}x_3). \tag{3}$$

The value of the exponent  $e_3$  was given by (1), whereas the possible relationship occurring between  $e_1$  and  $e_3$  was stated in Lemma 7. Now by imposing that  $\tau$  is a group homomorphism we find

$$e_1 = e_2h_2 + e_3h_3 \tag{4}$$

with  $h_i$  arising from the product of  $G_u$  given in Proposition 13.

**§4.** Assume now the factor group  $G_u/\mathfrak{z}(G_u)$  to be *non-commutative*. This requires that  $\text{char } \mathbf{k} = p > 0$  and we shall also see that  $p > 2$ .

In the notation of Section 2 we may take again  $U_3 = G_u$ ,  $U_2 = G_{[\bar{\Omega}]}$ ,  $U_1 = \mathfrak{z}(G_u)$  and

$$G_{[\bar{\Omega}]_O} = \{(0, x_2, 0) : x_2 \in \mathbf{k}\}.$$

Also, by Lemma 7, we have

$$\psi_2 : (x_3, y_3) \mapsto x_3^{p^m}y_3^{p^n},$$

for some  $p$ -powers  $p^m$  and  $p^n$  such that  $m < n$ . Furthermore, considering Remark 6, we see that an element  $v = (0, 0, x_3)$  moves the block  $\Delta_O$  to a different block  $\Delta_{v(O)}$ . So  $v$  does not centralize any element of  $G_{[\bar{\Omega}]_O}$  because the intersection  $G_{[\bar{\Omega}]_O} \cap G_{[\bar{\Omega}]_{v(O)}}$  is assumed to be trivial. So Lemma 10 applies and, up to an isomorphism, we may assume that the automorphism induced on  $G_{[\bar{\Omega}]}$  by an element  $(0, 0, x_3)$  has the form

$$(y_1, y_2, 0) \mapsto (y_1 + y_2^{h_2}x_3^{h_3}, y_2, 0)$$

for suitable  $p$ -powers  $h_i = p^i$ ,  $i = 2, 3$ . If we represent  $G_u$  as a non-central extension of the vector group  $G_{[\bar{\Omega}]}$  by  $G_u/G_{[\bar{\Omega}]}$  using the cross-section

$$(x_1, x_2, x_3)G_{[\bar{\Omega}]} \mapsto (0, 0, x_3),$$

the product  $(x_1, x_2, x_3)(y_1, y_2, y_3)$  of two elements in  $G_u$  can also be given by

$$(x_1 + y_1 + y_2^{h_2} x_3^{h_3} + \beta(x_3, y_3), x_2 + y_2 + x_3^{p^m} y_3^{p^n}, x_3 + y_3)$$

with  $\beta$  in  $\mathbf{k}[x_3, y_3]$  such that  $\beta(0, y_3) = \beta(x_3, 0) = 0$  and  $G_u$  is determined by taking

$$\psi_1(x_1, x_2, y_1, y_2) = y_2^{h_2} x_3^{h_3} + \beta(x_3, y_3).$$

Now the associative law forces the polynomial

$$\delta^2(\beta)(z_1, z_2, z_3) = \beta(z_1, z_2) + \beta(z_1 + z_2, z_3) - \beta(z_2, z_3) - \beta(z_1, z_2 + z_3)$$

to be

$$\delta^2(\beta)(z_1, z_2, z_3) = z_1^{p^l_3} z_2^{p^{l_2+m}} z_3^{p^{l_2+n}} \tag{5}$$

and we can state

**Proposition 14.** *A necessary and sufficient condition that  $G_u$  can be constructed as an extension of  $\mathfrak{z}(G_u)$  by a non-commutative connected unipotent group is that there exists a polynomial  $\beta \in \mathbf{k}[x_3, y_3]$  satisfying (5) with  $\beta(0, y_3) = \beta(x_3, 0) = 0$ . In this case we may take  $\psi_1(x_2, x_3, y_2, y_3) = y_2^{p^{l_2}} x_3^{p^{l_3}} + \beta(x_3, y_3)$ .*

The crucial question now is under what conditions such a polynomial  $\beta$  exists. Using a universal property of the operator  $\delta^2$  we have

$$\sum_{\pi \in \mathfrak{S}_n} \text{sign}(\pi) \delta^2(\beta)(z_{\pi(1)}, z_{\pi(2)}, z_{\pi(3)}) = 0$$

and this, in view of (5), is equivalent to

$$l_3 - l_2 = m, \quad \text{or} \quad l_3 - l_2 = n. \tag{6}$$

Assume now that  $\text{char } \mathbf{k} = 2$  and  $l_2 + m > 0$ , and denote by  $\beta_j$  the homogeneous component of  $\beta$  of degree  $j$ . As in our case the operator  $\delta^2$  is additive, (5) says that  $\delta^2(\beta) = \delta^2(\beta_k)$ , where  $k = 2^{l_2}(2^q + 2^m + 2^n)$  with either  $q = m$  or  $q = n$ , according as  $l_3 - l_2 = m$  or  $l_3 - l_2 = n$ . Let

$$\beta_k(y_1, y_2) = \sum_{i=0}^k a_i y_1^{k-i} y_2^i.$$

Then (5) becomes

$$\sum_{i=0}^k a_i (z_1^{k-i} z_2^i + (z_1 + z_2)^{k-i} z_3^i + z_2^{k-i} z_3^i + z_1^{k-i} (z_2 + z_3)^i) = z_1^{2^{l_2+q}} z_2^{2^{l_2+m}} z_3^{2^{l_2+n}}.$$

Differentiating this identity with respect to  $z_1$  and evaluating at  $(0, y_1, y_2)$  we obtain

$$a_{k-1} y_1^{k-1} + \frac{\partial}{\partial y_1} \beta_k(y_1, y_2) + a_{k-1} (y_1 + y_2)^{k-1} = 0, \quad (7)$$

whereas differentiating with respect to  $z_3$  and evaluating at  $(y_1, y_2, 0)$  we get

$$a_1 (y_1 + y_2)^{k-1} + a_1 y_2^{k-1} + \frac{\partial}{\partial y_2} \beta_k(y_1, y_2) = 0. \quad (8)$$

As  $\text{char } k = 2$ ,  $\frac{\partial}{\partial y_1} \beta_k(y_1, y_2)$  and  $\frac{\partial}{\partial y_2} \beta_k(y_1, y_2)$  are polynomials in  $y_1^2$  and  $y_2^2$  respectively, and the identities (7) and (8) force  $a_{k-1} = a_1 = 0$ . Hence

$$\frac{\partial}{\partial y_1} \beta(y_1, y_2) = \frac{\partial}{\partial y_2} \beta(y_1, y_2) = 0$$

and this yields  $a_i = 0$  for all odd  $i$ . Thus we may make the substitution  $(z_1, z_2, z_3) \mapsto (z_1^2, z_2^2, z_3^2)$ , and we obtain  $(z_1, z_2, z_3) \mapsto (z_1^{2^{l_2+m}}, z_2^{2^{l_2+m}}, z_3^{2^{l_2+m}})$  by iterating the process. So (5) leads to

$$\delta^2(\gamma)(z_1, z_2, z_3) = z_1^{2^{q-m}} z_2 z_3^{2^{n-m}} \quad (9)$$

with  $\gamma(y_1^{2^{l_2+m}}, y_2^{2^{l_2+m}}) = \beta(y_1, y_2)$ . Let  $\gamma_t$  be the homogeneous component of degree  $t := 1 + 2^{n-m} + 2^{q-m}$  of  $\gamma$  and let  $\gamma_t(y_1, y_2) = \sum_{i=0}^t b_i y_1^{t-i} y_2^i$ . Then (9) says that  $\delta^2(\gamma) = \delta^2(\gamma_t)$ , and hence

$$\sum_{i=0}^t b_i (z_1^{t-i} z_2^i + (z_1 + z_2)^{t-i} z_3^i + z_2^{t-i} z_3^i + z_1^{t-i} (z_2 + z_3)^i) = z_1^{2^{q-m}} z_2 z_3^{2^{n-m}}.$$

Likewise we obtain

$$b_{t-1} y_1^{t-1} + \frac{\partial}{\partial y_1} \gamma_t(y_1, y_2) + b_{t-1} (y_1 + y_2)^{t-1} = (1 - \epsilon) y_1 y_2^{2^{n-m}},$$

and

$$b_1 (y_1 + y_2)^{t-1} + b_1 y_2^{t-1} + \frac{\partial}{\partial y_2} \gamma_t(y_1, y_2) = 0,$$

where  $\epsilon = 0$  or  $\epsilon = 1$  according as  $q = m$  or  $q = n$ . So by Euler's identity  $t\gamma_t(y_1, y_2)$  is the polynomial

$$b_{t-1}y_1(y_1^{t-1} + (y_1 + y_2)^{t-1} + (1 - \epsilon)y_1y_2^{2^{n-m}}) + b_1y_2((y_1 + y_2)^{t-1} + y_2^{t-1})$$

or the polynomial

$$b_{t-1}(y_1^{1+2^{n-m}}y_2^{2^{q-m}} + y_1^{1+2^{q-m}}y_2^{2^{n-m}} + y_1y_2^{2^{n-m}+2^{q-m}} + (1 - \epsilon)y_1^2y_2^{2^{n-m}}) \\ + b_1(y_1^{2^{n-m}+2^{q-m}}y_2 + y_1^{2^{n-m}}y_2^{1+2^{q-m}} + y_1^{2^{q-m}}y_2^{1+2^{n-m}}).$$

Let  $q = m$ . Then we have the polynomial identity

$$(b_{t-1} + b_1)(y_1^{1+2^{n-m}}y_2 + y_1y_2^{1+2^{n-m}}) + b_1y_1^{2^{n-m}}y_2^2 = 0,$$

which implies  $b_{t-1} = b_1 = 0$ , and, consequently,  $\frac{\partial}{\partial y_1}\gamma_t(y_1, y_2) = y_1y_2^{2^{n-m}}$ , a contradiction. Let  $q = n$ . Then

$$\gamma_t(y_1, y_2) = b_{t-1}y_1y_2^{2^{n-m+1}} + b_1y_1^{2^{n-m+1}}y_2$$

and  $\delta^2(\gamma_t)(x_1, x_2, x_3) = 0$ . This contradicts (9) and  $p \neq 2$  follows.

If  $p \neq 2$  the polynomials

$$\beta(x_3, y_3) = \begin{cases} \frac{1}{2}x_3^{2p^l}y_3^{p^{l_2+n}} & \text{if } l_3 - l_2 = m, \\ x_3^{p^{l_3+p^{l_2+m}}}y_3^{p^{l_3}} + \frac{1}{2}x_3^{p^{l_2+m}}y_3^{2p^{l_3}} & \text{if } l_3 - l_2 = n \end{cases} \quad (10)$$

satisfy the conditions required in Proposition 14. Any other polynomial satisfying the conditions of Proposition 14 differs from (10) for a co-cycle  $\kappa(x_3, y_3)$  for a central extension of  $\mathbf{k}_+$  by  $\mathbf{k}_+$ ; we are going to show that it is a co-boundary.

From Remark 8 we may assume that any element  $\tau \in T$  acts on  $G_u$  via

$$(x_1, x_2, x_3) \mapsto (a_\tau^{e_1}x_1 + \varphi_1^{(\tau)}(x_3), a_\tau^{e_2}x_2, a_\tau^{e_3}x_3),$$

with the morphism  $\varphi_1^{(\tau)}$  depending only on  $x_3$  because  $G_{[\Omega]_0}$  is  $T$ -invariant. By imposing that  $\tau$  operates as a group homomorphism we obtain first

$$e_2 = e_3(p^m + p^n) \quad \text{and} \quad e_1 = e_2h_2 + e_3h_3 = e_3(p^{l_3} + p^{l_2+m} + p^{l_2+n}), \quad (11)$$

but also

$$a_\tau^{e_1}\beta(x_3, y_3) - \beta(a_\tau^{e_3}x_3, a_\tau^{e_3}y_3) + a_\tau^{e_1}\kappa(x_3, y_3) - \kappa(a_\tau^{e_3}x_3, a_\tau^{e_3}y_3) = \delta^1(\varphi_1^{(\tau)})(x_3, y_3),$$

or

$$a_\tau^{e_1}\kappa(x_3, y_3) - \kappa(a_\tau^{e_3}x_3, a_\tau^{e_3}y_3) = \delta^1(\varphi_1^{(\tau)})(x_3, y_3), \quad (12)$$

because  $e_1 = e_3 \deg \beta$  in view of (11). Since  $e_3$  is a  $p$ -power and  $p > 2$ , by (11) the integer  $e_1$  can be neither a  $p$ -power nor the sum of two  $p$ -powers. Thus [4, Theorem 4.6] guarantees that  $\kappa$  is a co-boundary, i.e.  $\kappa = \delta^1(g)$  for some polynomial  $g \in \mathbf{k}[T]$ , that may be eliminated using the substitution  $x_1 \mapsto x_1 - g(x_3)$ . Such a replacement yields  $\delta^1(\varphi_1^{(\tau)})(x_3, y_3) = 0$ , i.e.  $\varphi_1^{(\tau)}$  is additive, and we may assume that the action of  $T$  given by diagonal matrices, as Lemma 9 claims.

**§5.** Now we collect all information obtained in the previous sections and classify  $\mathbf{G}$  according to the structure of the transversal  $L$ . With the aid of Remark 6 we can state our main result:

**Main Theorem 15.** *Every (2, 2)-imprimitive algebraic group  $\mathbf{G} = (G, \Omega, \bar{\Omega})$  can be constructed on the affine variety  $\mathbf{k}^3 \times \mathbf{k}^*$  as follows.*

(1) *Define the unipotent radical  $G_u$  on the affine space  $\mathbf{k}^3$  through the product*

$$(x_1, x_2, x_3)(y_1, y_2, y_3) = (x_1 + y_1 + \psi_1(x_3, y_2, y_3), x_2 + y_2 + \psi_2(x_3, y_3), x_3 + y_3),$$

where either

(i)  $\psi_2(x_3, y_3) = 0$  and  $\psi_1(x_3, y_2, y_3) = y_2^{h_2} x_3^{h_3} + \beta(x_3, y_3)$  with each  $h_i$  an integer  $p$ -power  $p^{l_i}$  in case  $\text{char } \mathbf{k} = p > 0$ ,  $h_i = 1$  otherwise, and  $\beta(x_3, y_3)$  one of the polynomials

$$0, \quad \sum_{i=1}^{p-1} \frac{1}{p} \binom{p}{i} x_3^{ip^r} y_3^{(p-i)p^r}, \quad x_3^{p^r} y_3^{p^s},$$

for suitable non-negative integers  $r, s$  such that  $r < s$ ,

or

(ii)  $\psi_2(x_3, y_3) = x_3^{p^m} y_3^{p^n}$ , with  $p = \text{char } \mathbf{k} > 2$  and  $m, n$  non-negative integers such that  $m < n$ , and  $\psi_1(x_3, y_2, y_3)$  as above with

$$\beta(x_3, y_3) = \begin{cases} \frac{1}{2} x_3^{2p^{l_3}} y_3^{p^{l_2+n}}, & \text{if } l_3 - l_2 = m, \\ x_3^{p^{l_3} + p^{l_2+m}} y_3^{p^{l_3}} + \frac{1}{2} x_3^{p^{l_2+m}} y_3^{2p^{l_3}}, & \text{if } l_3 - l_2 = n. \end{cases}$$

(2) *Let  $a \in \mathbf{k}^*$  operate on  $\mathbf{k}^3$  via*

$$(u_1, u_2, u_3) \mapsto (a^{e_1} u_1, a^{e_2} u_2, a^{e_3} u_3)$$

where

$e_1 = e_2 h_2 + e_3 h_3$ , but also  $e_1 = e_3 \deg \beta$  if  $\beta \neq 0$ ,  
 $e_2 = e_3(\deg \beta - h_3)/(h_2)$  if  $\beta \neq 0$ ,  
 $e_3$  is a  $p$ -power in case  $\text{char } \mathbf{k} = p > 0$ , and  $e_3 = 1$  otherwise.

- (3) Identify  $\Omega$  with the affine plane  $\mathbf{k}^2$  with the parallel lines  $y = k$  giving the set  $\bar{\Omega}$  of blocks. Then a transformation  $(u_1, u_2, u_3, a) \in G$  moves the point  $(x, y) \in \Omega$  to the point

$$(u_1 + a^{e_2 h_2 + e_3 h_3} x + \psi_1(u_3, 0, a^{e_3} y), u_3 + a^{e_3} y). \quad \square$$

The canonical representation of  $G$  given by our Main Theorem depends on the polynomial  $\beta$  as well as on the integer parameters  $e_2, e_3, h_2, h_3$ , though  $h_2$  and  $h_3$  are already determined by  $\beta, e_2$  and  $e_3$ . Labelling  $G$  as  $G_\beta^{(e_2, e_3, h_2, h_3)}$ , we ask whether an isomorphism

$$\Phi : G_\beta^{(e_2, e_3, h_2, h_3)} \rightarrow G_{\beta'}^{(e'_2, e'_3, h'_2, h'_3)}$$

between two (2, 2)-imprimitive algebraic groups with different parameters exists. Of course we may assume the same sets of points and blocks for both groups, so that  $\Phi$  is a pair  $(\Phi_1, \Phi_2)$  with  $\Phi_1$  a group isomorphism  $G_\beta^{(e_2, e_3, h_2, h_3)} \rightarrow G_{\beta'}^{(e'_2, e'_3, h'_2, h'_3)}$  and  $\Phi_2 : \mathbf{k}^2 \rightarrow \mathbf{k}^2$  a bijective morphism of the affine plane  $\mathbf{k}^2$  transforming horizontal lines into horizontal lines such that

$$\Phi_2(g(P)) = \Phi_1(g)(\Phi_2(P)) \quad (g \in G_\beta^{(e_2, e_3, h_2, h_3)}, P \in \mathbf{k}^2).$$

As  $G_u$  is transitive on  $\Omega$ , up to inner automorphisms we may assume that  $\Phi_2$  leaves the point  $O = (0, 0)$  of  $\Omega$  fixed, hence the line  $y = 0$  stable. Then the stabilizer of  $O$ , as well as the normalizer and centralizers of  $\Delta_O$  correspond; in particular

$$\begin{aligned} \Phi_1((0, u_2, 0)) &= (0, b_2 u_2, 0) \quad (b_2 \in \mathbf{k}^*), \\ \Phi_1((u_1, 0, 0)) &= (b_1 u_1, 0, 0) \quad (b_1 \in \mathbf{k}^*), \end{aligned} \tag{13}$$

and, moreover,

$$\begin{aligned} \Phi_1((0, 0, u_3)) &= (f_1(u_3), f_2(u_3), b_3 u_3) \quad (b_3 \in \mathbf{k}^*), \\ \Phi_2((x, y)) &= (b_1 x + f_1(y), b_3 y), \end{aligned} \tag{14}$$

for suitable polynomials  $f_j \in \mathbf{k}[T]$  such that

$$\begin{aligned} \delta^1(f_2)(x_3, y_3) &= b_2 \psi_2(x_3, y_3) - \psi'_2(b_3 x_3, b_3 y_3) \quad (x_3, y_3 \in \mathbf{k}), \\ \delta^1(f_1)(x_3, y_3) &= b_1 \psi_1(x_3, 0, y_3) - \psi'_1(b_3 x_3, f_2(y_3), b_3 y_3) \quad (x_3, y_3 \in \mathbf{k}). \end{aligned} \tag{15}$$

Manifestly tori fixing the point  $O$  correspond under  $\Phi_1$ ; in particular we have  $\Phi_1(T_\beta^{(e_2, e_3, h_2, h_3)}) = T_{\beta'}^{(e'_2, e'_3, h'_2, h'_3)}$  since tori are conjugate under  $G_u$ . This means that

$$(u_1, u_2, u_3)^{\Phi_1(\tau)} = (a_\tau^{e e'_1} u_1, a_\tau^{e e'_2} u_2, a_\tau^{e e'_3} u_3),$$

with  $\varepsilon = \pm 1$ . The identity  $\Phi_1((0, 0, u_3)^\tau) = (\Phi_1(0, 0, u_3))^{\Phi_1(\tau)}$  and the first part of (14) yield  $\varepsilon = 1$ ,  $e_3 = e'_3$  and  $f_j(a_\tau^{e_3} u_3) = a_\tau^{e'_j} f_j(u_3)$  for  $j = 1, 2$ , whereas the equation  $\Phi_1((u_1, u_2, 0)^\tau) = (\Phi_1(u_1, u_2, 0))^{\Phi_1(\tau)}$  and Equation 13 give  $e_1 = e'_1$  and  $e_2 = e'_2$ . So the polynomials  $f_j$  must be monomials and consequently, in case  $f_j \neq 0$ ,

$$e_j = e_3 \deg(f_j) \quad (j = 1, 2). \tag{16}$$

Therefore  $f_j(T) = d_j T^{e_j/e_3}$  with  $d_j \in \mathbf{k}$ , for  $j = 1, 2$ . Furthermore imposing the condition  $\Phi_1(0, 0, u_3)\Phi_1(0, v_2, 0) = \Phi_1((0, 0, u_3)(0, v_2, 0))$  we obtain

$$b_2^{h'_2} b_3^{h'_3} u_3^{h'_3} v_2^{h'_2} = b_1 u_3^{h_3} v_2^{h_2},$$

i.e.  $(h'_2, h'_3) = (h_2, h_3)$  and

$$b_1 = b_2^{h_2} b_3^{h_3}. \tag{17}$$

So the first step is achieved:

**Proposition 16.** *Suppose that  $\mathbf{G}_\beta^{(e'_2, e'_3, h'_2, h'_3)}$  and  $\mathbf{G}_\beta^{(e_2, e_3, h_2, h_3)}$  are isomorphic as algebraic permutation groups. Then*

$$(e'_2, e'_3, h'_2, h'_3) = (e_2, e_3, h_2, h_3). \quad \square$$

From [4, Theorem 4.6], the first equation of (15) holds precisely if

$$\delta^1(f_2) = \psi_2 - \psi'_2 = 0. \tag{18}$$

Also since we have  $e_1 = e_3 \deg(\beta)$  if  $\beta \neq 0$  we only need to examine the case where  $\text{char } \mathbf{k} = p > 0$ ,  $\beta = 0$  and either  $\beta'(x_3, y_3) = \sum_{i=1}^{p-1} p^{-1} \binom{p}{i} x_3^{ip^r} y_3^{(p-i)p^r}$ , or  $\beta'(x_3, y_3) = x_3^{p^r} y_3^{p^s}$ : by (16) we have  $\deg \beta' = \frac{e_1}{e_3} = \deg f_1$  in case  $d_1 \neq 0$ . Then the second identity of (15) becomes

$$\delta^1(f_1)(x_3, y_3) = -\beta'(b_3 x_3, b_3 y_3) - b_3^{h_3} x_3^{h_3} f_2(y_3)^{h_2} \tag{19}$$

and again [4, Theorem 4.6] excludes the possibility that  $f_2 = 0$ . Then  $f_2$  is an additive monomial by (18) and (16) forces  $e_2$  to be a  $p$ -power. Thus, in view of the Theorem 15, both  $e_1$  and  $\deg \beta'$ , are sums of two  $p$ -powers. So just the following two possibilities can occur: either  $\beta'(x_3, y_3) = x_3^{p^r} y_3^{p^s}$ , or  $\text{char } \mathbf{k} = 2$  and  $\beta'(x_3, y_3) = x_3^{2^r} y_3^{2^r}$ . Thus Theorem 15 gives either  $e_2 h_2 + e_3 h_3 = e_3(p^r + p^s)$ , or  $e_2 h_2 + e_3 h_3 = e_3 2^{r+1}$ , which means that the pair of  $p$ -powers  $(h_2, h_3)$  is one of the following:

- (1)  $(h_2, h_3) = ((e_3/e_2)p^r, p^s)$ ;
  - (2)  $(h_2, h_3) = ((e_3/e_2)p^s, p^r)$ ;
  - (3)  $(h_2, h_3) = ((e_3/e_2)2^r, 2^r)$ .
- (20)

As the right-hand side of (19) must be a co-boundary, (20.1), (20.2) and (20.3) lead respectively to

$$\begin{aligned} (1) \quad d_1 &= b_3^{p^r+p^s} = b_3^{p^s} d_2^{(e_3/e_2)p^r}, & \text{hence } d_2 &= b_3^{e_2/e_3}; \\ (2) \quad d_1 &= 0 \text{ and } b_3^{p^r+p^s} = -b_3^{p^r} d_2^{(e_3/e_2)p^s}, & \text{hence } d_2 &= -b_3^{e_2/e_3}; \\ (3) \quad b_3^{2^{r+1}} &= b_3^{2^r} d_2^{(e_3/e_2)2^r}, & \text{hence } d_2 &= b_3^{e_2/e_3}. \end{aligned}$$

Now straightforward calculations show that, for any  $b_1, b_2, d_3 \in \mathbf{k}$ , the maps

$$\begin{aligned} (1) \quad & \left\{ \begin{array}{l} G_0^{(e_2, e_3, (e_3/e_2)p^r, p^s)} \rightarrow G_{x^{p^r}, y^{p^s}}^{(e_2, e_3, (e_3/e_2)p^r, p^s)} \\ (u_1, u_2, u_3, a) \mapsto (b_2^{(e_3/e_2)p^r} b_3^{p^s} u_1 + (b_3 u_3)^{p^r+p^s}, b_2 u_2 + (b_3 u_3)^{e_2/e_3}, b_3 u_3, a), \end{array} \right. \\ (2) \quad & \left\{ \begin{array}{l} G_0^{(e_2, e_3, (e_3/e_2)p^s, p^r)} \rightarrow G_{x^{p^r}, y^{p^s}}^{(e_2, e_3, (e_3/e_2)p^s, p^r)}, \\ (u_1, u_2, u_3, a) \mapsto (b_2^{(e_3/e_2)p^s} b_3^{p^r} u_1, b_2 u_2 - (b_3 u_3)^{e_2/e_3} b_3 u_3, a), \end{array} \right. \\ (3) \quad & \left\{ \begin{array}{l} G_0^{(e_2, e_3, (e_3/e_2)2^r, 2^r)} \rightarrow G_{x^{2^r}, y^{2^r}}^{(e_2, e_3, (e_3/e_2)2^r, 2^r)} \\ (u_1, u_2, u_3, a) \mapsto (b_2^{(e_3/e_2)2^r} b_3^{2^r} u_1 + d_1 u_3^{2^{r+1}}, b_2 u_2 + (b_3 u_3)^{e_2/e_3}, b_3 u_3, a) \end{array} \right. \end{aligned} \quad (21)$$

are group isomorphisms corresponding to the values (20.i) of the pair of  $p$ -powers  $(h_2, h_3)$ . Manifestly such isomorphisms supply isomorphisms for the associated permutation groups. Summing up we have

**Theorem 17.** *The integer parameters  $e_2, e_3, h_2, h_3$  and the polynomial  $\beta$  determine uniquely the isomorphism class of the (2, 2)-imprimitive algebraic group  $\mathbf{G}$ , except when the pair  $(h_2, h_3)$  takes one of the (integer) values (20.i), and then there are the corresponding isomorphisms (21.i).  $\square$*

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