

Point-primitive, line-transitive generalised quadrangles of holomorph type

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Abstract. Let G be a group of collineations of a finite thick generalised quadrangle Γ . Suppose that G acts primitively on the point set \mathcal{P} of Γ , and transitively on the lines of Γ . We show that the primitive action of G on \mathcal{P} cannot be of holomorph simple or holomorph compound type. In joint work with Glasby, we have previously classified the examples Γ for which the action of G on \mathcal{P} is of affine type. The problem of classifying generalised quadrangles with a point-primitive, line-transitive collineation group is therefore reduced to the case where there is a unique minimal normal subgroup M and M is non-Abelian.

1 Introduction

A *partial linear space* is a point–line incidence geometry in which any two distinct points are incident with at most one line. All partial linear spaces considered in this paper are assumed to be finite. A *generalised quadrangle* \mathcal{Q} is a partial linear space that satisfies the *generalised quadrangle axiom*: given a point P and line ℓ not incident with P , there is a unique line incident with P and concurrent with ℓ . This axiom implies, in particular, that \mathcal{Q} contains no triangles. If each point of \mathcal{Q} is incident with at least three lines, and each line is incident with at least three points, then \mathcal{Q} is said to be *thick*. In this case, there exist constants $s, t \geq 2$ such that each point (line) is incident with exactly $t + 1$ lines ($s + 1$ points), and (s, t) is called the *order* of \mathcal{Q} . Generalised quadrangles were introduced by Tits [9], together with the other *generalised polygons*, in an attempt to find a systematic geometric interpretation for the simple groups of Lie type. It is therefore very natural to ask which groups arise as collineation groups of generalised quadrangles.

A topic of particular interest is that of generalised quadrangles admitting collineation groups M that act *regularly* on points, where the point set is iden-

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tified with M acting on itself by right multiplication. Ghinelli [6] showed that a Frobenius group or a group with non-trivial centre cannot act regularly on the points of a generalised quadrangle of order (s, t) if s is even and $s = t$, and Yoshiara [10] showed that a generalised quadrangle with $s = t^2$ does not admit a point-regular collineation group. Regular groups arise, in particular, as subgroups of certain *primitive* groups. Bamberg, Giudici, Morris, Royle and Spiga [2] showed that a group G acting primitively on both the points and the lines of a generalised quadrangle must be almost simple. The present authors and Glasby [3, Corollary 1.5] sought to weaken this assumption to primitivity on points and *transitivity* on lines, and, using a result of De Winter and Thas [4], classified the generalised quadrangles admitting such a group in the case where the primitive action on points is of *affine* type. (There are only two examples, arising from *hyperovals* in $\text{PG}(2, 4)$ and $\text{PG}(2, 16)$.) In this case, the regular subgroup M of G is Abelian, and hence *left* multiplication by any element of M is also a collineation. We consider the situation where M is non-Abelian but G has a second minimal normal subgroup, which is necessarily the centraliser of M , so that all left multiplications are again collineations. In the context of the O’Nan–Scott Theorem [8, Section 5] for primitive permutation groups, this means that the action of G on points is of either holomorph simple (HS) or holomorph compound (HC) type (see Section 2 for definitions). We prove the following result.

Theorem 1.1. *Let G be a collineation group of a finite thick generalised quadrangle with point set \mathcal{P} and line set \mathcal{L} . If G acts transitively on \mathcal{L} and primitively on \mathcal{P} , then G has a unique minimal normal subgroup; that is, the action of G on \mathcal{P} does not have O’Nan–Scott type HS or HC.*

The proof of Theorem 1.1 is given in Sections 3 and 4, using some preliminary results established in Section 2, and the Classification of Finite Simple Groups.

2 Preliminaries

We first recall some definitions and facts about permutation groups. Let G be a group acting on a set Ω , and denote the image of $x \in \Omega$ under $g \in G$ by x^g . The *orbit* of $x \in \Omega$ under G is the set $x^G = \{x^g \mid g \in G\}$, the subgroup $G_x = \{g \in G \mid x^g = x\}$ is the *stabiliser* of $x \in \Omega$, and the *Orbit–Stabiliser Theorem* says that $|G : G_x| = |x^G|$. The action of G is *transitive* if $x^G = \Omega$ for some (and hence every) $x \in \Omega$, and *semiregular* if G_x is trivial for all $x \in \Omega$. It is *regular* if it is both transitive and semiregular. If G acts transitively on Ω and M is a normal subgroup of G , then all orbits of M on Ω have the same length, and in particular it makes sense to speak of M being semiregular.

Given $g \in G$, define $\rho_g, \lambda_g, \iota_g \in \text{Sym}(\Omega)$ by

$$\rho_g : x \mapsto xg, \quad \lambda_g : x \mapsto g^{-1}x, \quad \iota_g : x \mapsto g^{-1}xg.$$

Set

$$G_R = \{\rho_g : g \in G\}, \quad G_L = \{\lambda_g : g \in G\}, \quad \text{Inn}(G) = \{\iota_g : g \in G\}.$$

The *holomorph* $\text{Hol}(G)$ of G is the semidirect product $G_R \rtimes \text{Aut}(G)$ with respect to the natural action of $\text{Aut}(G)$ on G_R (see [1, Section 2.6]). We have $\text{Hol}(G) = N_{\text{Sym}(G)}(G_R)$, and $G_L = C_{\text{Sym}(G)}(G_R)$. A group H acting on a set Δ is *permutationally isomorphic* to G acting on Ω if there is an isomorphism $\theta : G \rightarrow H$ and a bijection $\beta : \Omega \rightarrow \Delta$ such that $\beta(\omega^g) = \beta(\omega)^{\theta(g)}$ for all $g \in G$ and $\omega \in \Omega$. If a group M acts regularly on Ω , then there is a permutational isomorphism $\theta : N_{\text{Sym}(\Omega)}(M) \rightarrow \text{Hol}(M)$ with bijection $\beta : \Omega \rightarrow M$, where $\beta : \alpha^g \mapsto g$ for some fixed $\alpha \in \Omega$, and $\theta : \tau \mapsto \beta^{-1}\tau\beta$. We have $\theta(M) = M_R$, so the regular action of M on Ω is permutationally isomorphic to the action of M on itself by right multiplication, and hence we can identify Ω with M . Furthermore, $\theta(C_{\text{Sym}(\Omega)}(M))$ equals M_L . If M is a normal subgroup of G , then G is permutationally isomorphic to a subgroup of $\text{Hol}(M)$. If $M \rtimes \text{Inn}(M) \leq G$, then G contains M_L because $M \rtimes \text{Inn}(M) = \langle M_R, M_L \rangle$.

A transitive action of G on Ω is said to be *primitive* if it preserves no non-trivial partition of Ω . The structure of a primitive permutation group is described by the O’Nan–Scott Theorem [8, Section 5], which splits the primitive permutation groups into eight types. We are concerned with only two of these types. If $M \rtimes \text{Inn}(M) \leq G \leq M \rtimes \text{Aut}(M)$ with $M \cong T$ for some non-Abelian finite simple group T , then G , being contained in the holomorph of a simple group, is said to have type *HS*. If instead M is isomorphic to a compound group T^k , $k \geq 2$, then G has type *HC*. In this case, G induces a subgroup of $\text{Aut}(M) \cong \text{Aut}(T) \wr S_k$ which acts transitively on the set of k simple direct factors of $M \cong T^k$. In either case, G contains M_R and M_L , as explained above.

If we write $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \text{I})$ for a partial linear space, then we mean that \mathcal{P} is the point set, \mathcal{L} is the line set, and I is the incidence relation. An incident point–line pair is called a *flag*. A *collineation* of \mathcal{S} is a permutation of \mathcal{P} , together with a permutation of \mathcal{L} , such that incidence is preserved. If \mathcal{S} admits a group of collineations M that acts regularly on \mathcal{P} , then we identify \mathcal{P} with M acting on itself by right multiplication (as above). A line ℓ is then identified with the subset of M comprising all of the points incident with ℓ , and hence $P \text{I} \ell$ if and only if $P \in \ell$. Moreover, the stabiliser M_ℓ is the set of all elements of M that fix ℓ setwise by right multiplication.

Lemma 2.1. *Let $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \text{I})$ be a partial linear space with no triangles, and let G be a group of collineations of \mathcal{S} with a normal subgroup M that acts regularly*

on \mathcal{P} . Let ℓ be a line incident with the identity $1 \in M = \mathcal{P}$, and suppose that its stabiliser M_ℓ is non-trivial. Then:

- (i) ℓ is a union of left M_ℓ -cosets, including the trivial coset,
- (ii) if $M \rtimes \text{Inn}(M) \leq G$, then $M_\ell = \ell$.

Proof. (i) Let $g \in M_\ell$. Since $1 \text{ I } \ell$, namely $1 \in \ell$, it follows that $g = 1^g \text{ I } \ell^g = \ell$, namely $g \in \ell$. Therefore, $M_\ell \subseteq \ell$. Now, if $h \notin M_\ell \setminus \{1\}$ is incident with ℓ , then every non-trivial element of M_ℓ must map h to another point incident with ℓ , and hence the whole coset hM_ℓ is contained in ℓ .

(ii) By (i), we have $M_\ell \subseteq \ell$, so it remains to show the reverse inclusion. Let $m \in \ell \setminus \{1\}$. Since M_ℓ is non-trivial, there exists a non-trivial element $h \in M_\ell$. Since $M \rtimes \text{Inn}(M) \leq G$, left multiplication by h^{-1} is a collineation of \mathcal{S} . Since 1 and m are both incident with ℓ , it follows that h^{-1} and $h^{-1}m$ are collinear. On the other hand, $h^{-1} \in M_\ell \subseteq \ell$ by (i), so $h^{-1}m$ is collinear with m because right multiplication by m is a collineation. That is, $h^{-1}m$ is collinear with two points h^{-1}, m that are incident with ℓ , and so $h^{-1}m$ is itself incident with ℓ because \mathcal{S} contains no triangles. Therefore, m maps two points $1, h^{-1}$ incident with ℓ to two points $m, h^{-1}m$ incident with ℓ , and so $m \in M_\ell$. \square

Theorem 2.2. *Let $\mathcal{S} = (\mathcal{P}, \mathcal{L}, \text{I})$ be a partial linear space with no triangles. Let G be a group of collineations of \mathcal{S} that acts transitively on \mathcal{L} , and suppose that G has a normal subgroup M that acts regularly on \mathcal{P} and satisfies $M \rtimes \text{Inn}(M) \leq G \leq M \rtimes \text{Aut}(M)$. If the action of M on \mathcal{L} is not semiregular, then the lines $\ell_1, \dots, \ell_{t+1}$ incident with 1 are a G_1 -conjugacy class of subgroups of M , and G acts transitively on the flags of \mathcal{S} .*

Proof. Since M acts transitively on \mathcal{P} , we have $G = MG_1 = G_1M$. By assumption, $G \leq \text{Hol}(M)$ and so $G_1 \leq \text{Aut}(M)$. By Lemma 2.1 (ii), the lines $\ell_1, \dots, \ell_{t+1}$ can be identified with subgroups of M . Each $g \in G_1$, acting naturally as an element of $\text{Aut}(M)$, fixes 1 and hence maps ℓ_1 to $\ell_1^g = \ell_i$ for some $i \in \{1, \dots, t+1\}$. Conversely, consider the map $\varphi : G \rightarrow \text{Aut}(M)$ defined by $\varphi(g) = \iota_g$. The restriction of φ to G_1 is the identity. Moreover, $\ker(\varphi) = C_G(M)$, and hence $\theta(\ker(\varphi)) = M_L$, where θ is the permutational isomorphism defined above. In particular, $\ker(\varphi)$ acts transitively (indeed, regularly) on \mathcal{P} . Hence, we have $\ker(\varphi)G_1 = G$, so $\text{Im}(\varphi) = \varphi(G_1) = G_1$. Now consider a line ℓ_i for some $i > 1$. By line-transitivity, we have $\ell_i = \ell_1^g$ for some $g \in G$. On the other hand, since $G = \ker(\varphi)G_1$, we have $g = zg_1$ for some $z \in \ker(\varphi)$ and $g_1 \in G_1$, so $\ell_1^g = \ell_1^{g_1}$. Therefore, $\ell_1, \dots, \ell_{t+1}$ are precisely the subgroups of the form ℓ_1^g with $g \in G_1$. Since the lines ℓ_i and ℓ_j intersect precisely in the point 1 for $i \neq j$, the $t+1$ subgroups $\ell_1, \dots, \ell_{t+1}$ are distinct, and they form a single G_1 -conjugacy class of

subgroups of M . In particular, G_1 acts transitively on $\{\ell_1, \dots, \ell_{t+1}\}$, so G acts transitively on the flags of \mathcal{S} . □

Let us draw a corollary in the case where \mathcal{S} is a thick generalised quadrangle. In this case, \mathcal{S} has $(s + 1)(st + 1)$ points and $(t + 1)(st + 1)$ lines, where (s, t) is the order of \mathcal{S} .

Corollary 2.3. *If the partial linear space in Theorem 2.2 is a thick generalised quadrangle of order (s, t) , then $s + 1$ divides $t - 1$.*

Proof. Begin by observing that $\text{Inn}(M)$ acts on $\{\ell_1, \dots, \ell_{t+1}\}$. That is, for each $g \in M$, we have $g^{-1}\ell_1g = \ell_i$ for some $i \in \{1, \dots, t + 1\}$. Suppose first that $\text{Inn}(M)$ is intransitive on $\{\ell_1, \dots, \ell_{t+1}\}$. Then, without loss of generality, ℓ_2 is in a different $\text{Inn}(M)$ -orbit to ℓ_1 , and so, for every $g \in M$, we have $g^{-1}\ell_1g = \ell_i$ for some $i \neq 2$. Hence, every double coset $\ell_1g\ell_2$, where $g \in M$, has size $|\ell_1g\ell_2| = |g^{-1}\ell_1g\ell_2| = |\ell_i\ell_2| = (s + 1)^2$. Here the final equality holds because $|\ell_i \cap \ell_2| = 1$ (because distinct concurrent lines intersect in a unique point, in this case the point 1). Since the double cosets of ℓ_1 and ℓ_2 partition M , it follows that $(s + 1)^2$ divides $|M| = |\mathcal{P}| = (s + 1)(st + 1)$. Therefore, $s + 1$ divides $st + 1 = (s + 1)t - (t - 1)$, and hence $s + 1$ divides $t - 1$, as claimed.

Now suppose, towards a contradiction, that the group $\text{Inn}(M)$ is transitive on $\{\ell_1, \dots, \ell_{t+1}\}$. Consider two lines incident with 1, say ℓ_1, ℓ_2 . Then a double coset $D = \ell_1g\ell_2$, where $g \in M$, has size $(s + 1)^2$ or $s + 1$ according as $g^{-1}\ell_1g \neq \ell_2$ or $g^{-1}\ell_1g = \ell_2$. Let us say that D is *small* in the latter case. There are exactly $|M|/(t + 1)$ elements $g \in M$ for which $g^{-1}\ell_1g = \ell_2$, that is, for which $D = \ell_1g\ell_2$ is small. Moreover, each such D has $s + 1$ representatives $h \in M$, because $\ell_1h\ell_2 = D$ if and only if $h \in D$, and $|D| = s + 1$. Hence, there are exactly $|M|/((s + 1)(t + 1))$ small double cosets of the form $\ell_1g\ell_2$. Therefore, $(s + 1)(t + 1)$ divides $|M| = |\mathcal{P}| = (s + 1)(st + 1)$, and so $t + 1$ divides $st + 1 = (t + 1)s - (s - 1)$ and hence $s - 1$. In particular, we have $s \geq t + 2 > t$, and so [7, Result 2.2.2 (i)] implies that \mathcal{S} cannot contain a subquadrangle of order $(s, 1)$. For a contradiction, we now construct such a subquadrangle.

Since ℓ_1 is a subgroup of M and right multiplication by any element of M is a collineation of \mathcal{S} , we have in particular that every right coset ℓ_1g_2 of ℓ_1 with $g_2 \in \ell_2$ is a line of \mathcal{S} . Similarly, since left multiplications are collineations, every left coset $g_1\ell_2$ of ℓ_2 with $g_1 \in \ell_1$ is a line of \mathcal{S} . Therefore,

$$\mathcal{L}' = \{g_1\ell_2 \mid g_1 \in \ell_1\} \cup \{\ell_1g_2 \mid g_2 \in \ell_2\}$$

is a subset of \mathcal{L} . Now, consider also the subset $\mathcal{P}' = \ell_1\ell_2$ of $\mathcal{P} = M$, and let I' be the restriction of I to $(\mathcal{P}' \times \mathcal{L}') \cup (\mathcal{L}' \times \mathcal{P}')$. We claim that $\mathcal{S}' = (\mathcal{P}', \mathcal{L}', I')$ is a subquadrangle of \mathcal{S} of order $(s, 1)$. Let us first check that \mathcal{S}' satisfies the

generalised quadrangle axiom. Let $\ell \in \mathcal{L}'$ and take $P \in \mathcal{P}'$ not incident with ℓ . Then, since \mathcal{S} satisfies the generalised quadrangle axiom, there is a unique point $Q \in \mathcal{P}$ incident with ℓ and collinear with P . Since $\ell \subset \mathcal{P}'$, we have $Q \in \mathcal{P}'$, and so \mathcal{S}' also satisfies the generalised quadrangle axiom. It remains to check that \mathcal{S}' has order $(s, 1)$. Now, every line in \mathcal{L}' is incident with $s + 1$ points in \mathcal{P}' , being a coset of either ℓ_1 or ℓ_2 , so it remains to show that every point in \mathcal{P}' is incident with exactly two lines in \mathcal{L}' . Given $P = g_1 g_2 \in \mathcal{P}'$, where $g_1 \in \ell_1, g_2 \in \ell_2$, each line $\ell \in \mathcal{L}'$ incident with P is either of the form $h_1 \ell_2$ for some $h_1 \in \ell_1$ or $\ell_1 h_2$ for some $h_2 \in \ell_2$, and since $P \in \ell$, we must have $h_1 = g_1$ or $h_2 = g_2$, respectively. Therefore, P is incident with exactly two lines in \mathcal{L}' , namely $g_1 \ell_2$ and $\ell_1 g_2$. \square

We also check that, in the case of a thick generalised quadrangle, the assumption that M is not semiregular on \mathcal{L} is satisfied when $|M|$ is even.

Lemma 2.4. *Let $\mathcal{Q} = (\mathcal{P}, \mathcal{L}, \mathbf{I})$ be a thick generalised quadrangle of order (s, t) . Let G be a group of collineations of \mathcal{Q} that acts transitively on \mathcal{L} , and suppose that G has a normal subgroup M that acts regularly on \mathcal{P} . If M has even order, then M does not act semiregularly on \mathcal{L} .*

Proof. If M_ℓ is trivial for $\ell \in \mathcal{L}$, then $|\ell^M| = |M| = |\mathcal{P}| = (s + 1)(st + 1)$ divides $|\mathcal{L}| = (t + 1)(st + 1)$, and hence $s + 1$ divides $t + 1$, so [2, Lemma 3.2] implies that $\gcd(s, t) > 1$. However, $|M|$ is even, so M contains an element of order 2, and because $\gcd(s, t) > 1$, it follows from [2, Lemma 3.4] that every such element must fix some line, contradicting the assumption that M_ℓ is trivial. \square

3 Proof of Theorem 1.1: HS type

Suppose that $\mathcal{Q} = (\mathcal{P}, \mathcal{L}, \mathbf{I})$ is a thick generalised quadrangle with a collineation group G that acts transitively on \mathcal{L} and primitively of O’Nan–Scott type HS on \mathcal{P} . Then

$$T \rtimes \text{Inn}(T) \leq G \leq T \rtimes \text{Aut}(T)$$

for some non-Abelian finite simple group T , with T acting regularly on \mathcal{P} . Since $|T|$ is even by the Feit–Thompson Theorem [5], Lemma 2.4 tells us that \mathcal{Q} satisfies the hypotheses of Theorem 2.2 and Corollary 2.3. In particular, $s + 1$ divides $t - 1$ (by Corollary 2.3), and we write

$$t' := \frac{t - 1}{s + 1}. \quad (3.1)$$

Since T acts regularly on \mathcal{P} , we have $|T| = |\mathcal{P}| = (s + 1)(st + 1)$. By Higman’s inequality, $t \leq s^2$, and hence $t' \leq s - 1$. Therefore,

$$|T| = (s + 1)^2(st' + 1) \quad \text{for some } 1 \leq t' \leq s - 1.$$

By Theorem 2.2, $G_1 \leq \text{Aut}(T)$ acts transitively on the $t + 1$ lines incident with 1, and hence $t + 1$ divides $|\text{Aut}(T)| = |T| \cdot |\text{Out}(T)|$. Therefore, $|\text{Out}(T)|$ is divisible by $(t + 1)/\gcd(t + 1, |T|)$, so

$$t + 1 \leq \gcd(t + 1, |T|)|\text{Out}(T)|.$$

Since $|T| = (s + 1)(st + 1)$ is even, s must be odd; and since $s + 1$ divides $t - 1$, we have $\gcd(t + 1, s + 1) = 2$. Moreover, $st' + 1 = t - t'$, so

$$\gcd(t + 1, st' + 1) = \gcd(t + 1, t - t') = \gcd(t + 1, t' + 1),$$

and in particular $\gcd(t + 1, |T|) \leq 2^2(t' + 1)$. Therefore,

$$t + 1 \leq 4(t' + 1)|\text{Out}(T)|.$$

Together with (3.1), this implies $t'(s + 1) + 2 \leq 4(t' + 1)|\text{Out}(T)|$, and because $t' \geq 1$, it follows that

$$s \leq 8|\text{Out}(T)| - 3.$$

Since $|T| \leq (s + 1)(s^3 + 1)$ (by Higman's inequality), we have

$$|T| \leq (8|\text{Out}(T)| - 2)((8|\text{Out}(T)| - 3)^3 + 1).$$

The following lemma therefore completes the proof of Theorem 1.1 in the HS case.

Lemma 3.1. *There is no finite non-Abelian simple group T satisfying*

- (a) $|T| = (s + 1)^2(st' + 1)$, where $1 \leq t' \leq s - 1$,
- (b) $2 \leq s \leq 8|\text{Out}(T)| - 3$,
- (c) $|T| \leq (8|\text{Out}(T)| - 2)((8|\text{Out}(T)| - 3)^3 + 1)$.

Proof. Since $(8x - 2)((8x - 3)^3 + 1) \leq (8x)^4$ for real $x \geq 1$, condition (c) implies that

$$|T| \leq 2^{12}|\text{Out}(T)|^4. \tag{3.2}$$

We use (3.2) instead of (c) to rule out certain possibilities for T .

Case 1: $T \cong \text{Alt}_n$ or a sporadic simple group. If $T \cong \text{Alt}_6$, then $|\text{Out}(T)| = 4$ and there is no solution to (a) subject to (b). If T is an alternating group other than Alt_6 , or a sporadic simple group, then $|\text{Out}(T)| \leq 2$, and so (c) implies that $|T| \leq (13 + 1)(13^3 + 1) = 30,772$. This rules out everything except $T \cong \text{Alt}_5$, Alt_7 and M_{11} , and for these cases one checks that there is no solution to (a) subject to (b).

Case 2: $T \cong A_1(q)$. Suppose that $T \cong A_1(q)$, and write $q = p^f$ with p prime and $f \geq 1$. Then $|T| = q(q^2 - 1)/\gcd(2, q - 1)$, and $|\text{Out}(T)| = \gcd(2, q - 1)f$.

Suppose first that q is even, namely that $p = 2$. Then $\gcd(2, q - 1) = 1$, and (c) implies that

$$2^f(2^{2f} - 1) \leq (8f - 2)((8f - 3)^3 + 1),$$

which holds only if $f \leq 7$. If $f = 1$, then T is not simple; and if $f = 2$, then $T \cong \text{Alt}_5$, which we have already ruled out. For $3 \leq f \leq 7$, there is no solution to (a) subject to (b).

Now suppose that $q = p^f$ is odd. Then $\gcd(2, q - 1) = 2$, and hence we have $|\text{Out}(T)| = 2f$, so (c) reads

$$p^f(p^{2f} - 1) \leq 2(16f - 2)((16f - 3)^3 + 1).$$

If $f \geq 6$, then this inequality fails for all $p \geq 3$. The inequality holds if and only if

$$q = p^f \in \{3, 5, 7, 3^2, 11, 13, 17, 19, 23, 5^2, 3^3, 29, 31, 37, 7^2, 3^4, 5^3, 3^5\}.$$

If $q = 3$, then T is not simple; if $q = 5$, then $T \cong \text{Alt}_5$, which we have ruled out; if $q = 7$, then $T \cong A_2(2)$, which is ruled out in Case 3 below; and if $q = 9$, then $T \cong \text{Alt}_6$, which we have ruled out. For the remaining values of q , there is no solution to (a) subject to (b).

Case 3: $T \cong A_n(q)$, $n \geq 2$. Suppose that $T \cong A_n(q)$, with $n \geq 2$ and $q = p^f$. Then

$$|T| = \frac{q^{n(n+1)/2}}{\gcd(n+1, q-1)} \prod_{i=1}^n (q^{i+1} - 1),$$

and $|\text{Out}(T)| = 2\gcd(n+1, q-1)f$.

First suppose that $n \geq 3$. Noting that $f = \log_p(q) = \ln(q)/\ln(p) \leq \ln(q)/\ln(2)$ and $\gcd(n+1, q-1) \leq q-1$, and applying (3.2), we find

$$q^{n(n+1)/2} \prod_{i=1}^n (q^{i+1} - 1) \leq \frac{2^{16}}{\ln^4(2)} (q-1)^5 \ln^4(q).$$

This inequality fails for all $q \geq 2$ if $n = 4$, and therefore fails for all $q \geq 2$ for every $n \geq 4$ (because the left-hand side is increasing in n while the right-hand side does not depend on n). It fails for $n = 3$ unless $q \in \{2, 3\}$, but $A_3(2) \cong \text{Alt}_8$ has already been ruled out, and (c) rules out $A_3(3)$ because

$$|A_3(3)| = 6,065,280 > 30(29^3 + 1) = 731,700.$$

Finally, suppose that $n = 2$. Noting that $\gcd(3, q-1) \leq 3$ and $f \leq \ln(q)/\ln(2)$, (3.2) gives

$$q^3(q^2 - 1)(q^3 - 1) \leq \frac{2^{16}3^5}{\ln^4(2)} \ln^4(q).$$

This implies that $q \leq 15$. For $q \in \{5, 8, 9, 11, 13\}$, the sharper inequality (c) fails. For $q \in \{2, 3, 4, 7\}$, there are no solutions to (a) subject to (b).

Case 4: $T \cong {}^2A_n(q^2)$. Suppose that $T \cong {}^2A_n(q^2)$, where now $q^2 = p^f$ for some prime p and $f \geq 1$. We have $n \geq 2$,

$$|T| = \frac{q^{n(n+1)/2}}{\gcd(n+1, q+1)} \prod_{i=1}^n (q^{i+1} - (-1)^{i+1}),$$

and $|\text{Out}(T)| = \gcd(n+1, q+1)f$.

First suppose that $n \geq 4$. Noting that

$$f = \log_p(q^2) = \frac{\ln(q^2)}{\ln(p)} \leq \frac{2 \ln(q)}{\ln(2)},$$

and that $\gcd(n+1, q+1) \leq q+1$, (3.2) gives

$$q^{n(n+1)/2} \prod_{i=1}^n (q^{i+1} - (-1)^{i+1}) \leq \frac{2^{16}}{\ln^4(2)} (q+1)^5 \ln^4(q).$$

This inequality fails for all $q \geq 2$ for $n = 4$, and hence fails for all $q \geq 2$ for every $n \geq 4$.

Now suppose that $n = 3$. Then we can replace the $(q+1)^5$ on the right-hand side above by $4^5 = 2^{10}$, because $\gcd(n+1, q+1) = \gcd(4, q+1) \leq 4$. This yields

$$q^6(q^2 - 1)(q^3 + 1)(q^4 - 1) \leq \frac{2^{26}}{\ln^4(2)} \ln^4(q),$$

which implies that $q \leq 4$. If $q \in \{2, 3\}$, then there are no solutions to (a) subject to (b). If $q = 4$, then (c) fails.

Finally, suppose that $n = 2$. Then $\gcd(n+1, q+1) \leq 3$, and hence

$$q^3(q^2 - 1)(q^3 + 1) \leq \frac{2^{16}3^5}{\ln^4(2)} \ln^4(q),$$

which implies that $q \leq 15$. If $q = 2$, then the group $T \cong {}^2A_2(2^2)$ is not simple. If $q \in \{3, 4, 5, 8\}$, then there are no solutions to (a) subject to (b). If $q \in \{7, 9, 11, 13\}$, then (c) fails.

Case 5: Remaining possibilities for T . We now rule out the remaining possibilities for the finite simple group T .

(i) $T \cong B_n(q)$ or $C_n(q)$. First suppose that $T \cong C_n(q)$, and write $q = p^f$ with p prime and $f \geq 1$. We have $n \geq 3$, $|T| = q^{n^2}/\gcd(2, q-1) \cdot \prod_{i=1}^n (q^{2i} - 1)$, and $|\text{Out}(T)| = \gcd(2, q-1)f$. Noting that $f \leq \ln(q)/\ln(2)$ and that $\gcd(2, q-1)$ is at most 2, (3.2) implies that

$$q^{n^2} \prod_{i=1}^n (q^{2i} - 1) \leq \frac{2^{17}}{\ln^4(2)} \ln^4(q).$$

However, this inequality fails for all $q \geq 2$ if $n = 3$, and hence fails for all $q \geq 2$ for every $n \geq 3$.

Now suppose that $T \cong B_n(q)$, writing $q = p^f$ as before. In this case we have $n \geq 2$, and again $|T| = q^{n^2}/\gcd(2, q-1) \cdot \prod_{i=1}^n (q^{2i} - 1)$. If $n \geq 3$ and q is even, then $B_n(q) \cong C_n(q)$. If $n \geq 3$ and q is odd, then $|\text{Out}(T)|$ is the same as for $C_n(q)$. We may therefore assume that $n = 2$. First suppose that $q = 2^f$. Then $|\text{Out}(T)| = 2 \gcd(2, q-1)f = 2f$, so (3.2) implies that

$$2^{4f} (2^{2f} - 1)(2^{4f} - 1) \leq 2^{16} f^4, \quad (3.3)$$

and hence $f \in \{1, 2\}$. For $f = 1$, $B_2(2)$ is not simple but its derived subgroup $B_2(2)' \cong \text{Alt}_6$ is simple and has already been ruled out. For $f = 2$, (c) fails. Now suppose that q is odd. Then $|\text{Out}(T)| = \gcd(2, q-1)f = 2f$ and $f \leq \ln(q)/\ln(3)$, so (3.2) implies that

$$q^4 (q^2 - 1)(q^4 - 1) \leq \frac{2^{17}}{\ln^4(3)} \ln^4(q),$$

and hence $q = 3$. However, we have $B_2(3) \cong {}^2A_3(2^2)$, which has been dealt with in Case 4.

(ii) $T \cong D_n(q)$. Suppose that $T \cong D_n(q)$, writing $q = p^f$ again. We have $n \geq 4$, $|T| = q^{n(n-1)}(q^n - 1)/\gcd(4, q^n - 1) \cdot \prod_{i=1}^{n-1} (q^{2i} - 1)$, and

$$|\text{Out}(T)| = \begin{cases} 6 \gcd(2, q-1)^2 f & \text{if } n = 4, \\ 2 \gcd(2, q-1)^2 f & \text{if } n < 4 \text{ and } n \text{ is even,} \\ 2 \gcd(4, q^n - 1) f & \text{if } n < 4 \text{ and } n \text{ is odd.} \end{cases}$$

If q is odd, then $\gcd(4, q^n - 1) \leq 4$, $|\text{Out}(T)| \leq 24f$, and $f \leq \ln(q)/\ln(3)$, so (3.2) implies that

$$q^{n(n-1)}(q^n - 1) \prod_{i=1}^{n-1} (q^{2i} - 1) \leq \frac{2^{26} 3^4}{\ln^4(3)} \ln^4(q),$$

which fails for all $q \geq 3$ if $n = 4$, and hence fails for all $q \geq 3$ for every $n \geq 4$. If q is even, then $\gcd(4, q^n - 1) = 1$, $|\text{Out}(T)| \leq 6f$ and $f = \ln(q)/\ln(2)$, so (3.2) implies that

$$q^{n(n-1)}(q^n - 1) \prod_{i=1}^{n-1} (q^{2^i} - 1) \leq \frac{2^{16}3^4}{\ln^4(2)} \ln^4(q),$$

which fails for all $q \geq 2$ if $n = 4$, and hence fails for all $q \geq 2$ for every $n \geq 4$.

(iii) $T \cong E_6(q), E_7(q), E_8(q)$ or $F_4(q)$. Suppose that T is one of $E_6(q), E_7(q), E_8(q)$ or $F_4(q)$, and write $q = p^f$ again. Observe that $|E_i(q)| \geq |F_4(q)|$ for every $i \in \{6, 7, 8\}$, for all $q \geq 2$. Hence

$$|T| \geq |F_4(q)| = q^{24}(q^{12} - 1)(q^8 - 1)(q^6 - 1)(q^2 - 1) \geq \frac{q^{52}}{2^4}.$$

Since $|\text{Out}(T)| \leq 2 \gcd(3, q - 1)f \leq 6 \ln(q)/\ln(2)$, (3.2) implies the following inequality, which fails for all $q \geq 2$:

$$q^{52} \leq \frac{2^{20}3^4}{\ln^4(2)} \ln^4(q).$$

(iv) $T \cong G_2(q)$. Suppose that $T \cong G_2(q)$, with $q = p^f$. Then

$$|T| = q^6(q^6 - 1)(q^2 - 1).$$

If $p = 3$, then $|\text{Out}(T)| = 2f$, so (c) implies $3^{6f}(3^{6f} - 1)(3^{2f} - 1) \leq 2^{16}f^4$, which fails for all $f \geq 1$. If $p \neq 3$, then $|\text{Out}(T)| = f \leq \ln(q)/\ln(2)$, and (3.2) implies the following inequality, which fails for all $q \geq 2$:

$$q^6(q^6 - 1)(q^2 - 1) \leq \frac{2^{12}}{\ln^4(2)} \ln^4(q).$$

Note that $G_2(2)$ is not simple, but $G_2(2)' \cong {}^2A_2(3^2)$ is simple and has already been ruled out.

(v) $T \cong {}^2D_n(q)$. Suppose that $T \cong {}^2D_n(q^2)$, now writing $q^2 = p^f$. Then $n \geq 4$,

$$|T| = \frac{q^{n(n-1)}(q^n + 1)}{\gcd(4, q^n + 1)} \prod_{i=1}^{n-1} (q^{2^i} - 1),$$

and $|\text{Out}(T)| = \gcd(4, q^n + 1)f$. Since $f \leq 2 \ln(q)/\ln(2)$ and $\gcd(4, q^n + 1) \leq 4$, (3.2) implies that

$$q^{n(n-1)}(q^n + 1) \prod_{i=1}^{n-1} (q^{2^i} - 1) \leq \frac{2^{26}}{\ln^4(2)} \ln^4(q).$$

This fails for all $q \geq 2$ if $n = 4$, and hence fails for all $q \geq 2$ for every $n \geq 4$.

(vi) $T \cong {}^2E_6(q^2)$. Suppose that $T \cong {}^2E_6(q^2)$, with $q^2 = p^f$. Then

$$|T| = \frac{1}{\gcd(3, q+1)} q^{36} (q^{12} - 1)(q^9 + 1)(q^8 - 1)(q^6 - 1)(q^5 + 1)(q^2 - 1),$$

and

$$|\text{Out}(T)| = \gcd(3, q+1)f.$$

Noting that $f \leq 2 \ln(q)/\ln(2)$ and $\gcd(3, q+1) \leq 3$, (3.2) implies the following inequality, which fails for all $q \geq 2$:

$$q^{36} (q^{12} - 1)(q^9 + 1)(q^8 - 1)(q^6 - 1)(q^5 + 1)(q^2 - 1) \leq \frac{3^5 2^{16}}{\ln^4(2)} \ln^4(q).$$

(vii) $T \cong {}^3D_4(q^3)$. Suppose that $T \cong {}^3D_4(q^2)$, where now $q^3 = p^f$. Then

$$|T| = q^{12} (q^8 + q^4 + 1)(q^6 - 1)(q^2 - 1),$$

and $|\text{Out}(T)| = f = 3 \ln(q)/\ln(p) \leq 3 \ln(q)/\ln(2)$, so (3.2) implies the following inequality, which fails for all $q \geq 2$:

$$q^{12} (q^8 + q^4 + 1)(q^6 - 1)(q^2 - 1) \leq \frac{3^4 2^{12}}{\ln^4(2)} \ln^4(q).$$

(viii) $T \cong {}^2B_2(q)$, ${}^2G_2(q)$, or ${}^2F_4(q)$. Finally, suppose that T is as in one of the lines of Table 1. Suppose first that $n \geq 1$. Then $|\text{Out}(T)| = 2n + 1$ in each case, and (3.2) therefore implies that $|T| \leq 2^{12}(2n+1)^4$. This inequality holds only in the case $T \cong {}^2B_2(2^{2n+1})$ with $n = 1$, but $|{}^2B_2(2^3)| = 29,120$ cannot be written in the form (a) subject to (b). For $n = 0$, we have that ${}^2B_2(q)$ is not simple; ${}^2G_2(3)$ is not simple, but ${}^2G_2(3)' \cong A_1(8)$ has been ruled out in Case 2 above; and ${}^2F_4(2)$ is not simple, but ${}^2F_4(2)'$ is simple of order 17,971,200 and has outer automorphism group of order 2, so (3.2) fails.

This completes the proof of Lemma 3.1. □

T	$ T $	q
${}^2B_2(q)$	$q^2(q^2 + 1)(q - 1)$	2^{2n+1}
${}^2G_2(q)$	$q^3(q^3 + 1)(q - 1)$	3^{2n+1}
${}^2F_4(q)$	$q^{12}(q^6 + 1)(q^4 - 1)(q^3 + 1)(q - 1)$	2^{2n+1}

Table 1. Orders of the Suzuki and Ree simple groups.

4 Proof of Theorem 1.1: HC type

Suppose that $\mathcal{Q} = (\mathcal{P}, \mathcal{L}, I)$ is a thick generalised quadrangle with a collineation group G that acts transitively on \mathcal{L} and primitively of O’Nan–Scott type HC on \mathcal{P} . Then

$$M \rtimes \text{Inn}(M) \leq G \leq M \rtimes \text{Aut}(M),$$

where $M = T_1 \times \dots \times T_k$, with $k \geq 2$ and $T_1 \cong \dots \cong T_k \cong T$ for some non-Abelian finite simple group T . Moreover, M acts regularly on \mathcal{P} , and G induces a subgroup of $\text{Aut}(T) \wr S_k$ which acts transitively on the set $\{T_1, \dots, T_k\}$ (see [8, Section 5]). Since $|M| = |T|^k$ is even by the Feit–Thompson Theorem [5], Lemma 2.4 tells us that \mathcal{Q} satisfies the hypotheses of Theorem 2.2 and Corollary 2.3. In particular, $s + 1$ divides $t - 1$ (by Corollary 2.3), and we define t' as in (3.1).

We first rule out the case $k \geq 3$, and then deal with the case $k = 2$ separately.

4.1 The case $k \geq 3$

Suppose, towards a contradiction, that $k \geq 3$. Denote by $\ell_1, \dots, \ell_{t+1}$ the lines incident with the identity $1 \in M$. By Lemma 2.1 (ii), we may identify ℓ_i with the subgroup of M comprising all points incident with ℓ_i . Let us write $\ell := \ell_1$ for brevity.

Claim 4.1. *The group M cannot be decomposed in the form $M = A \times B$ with $\ell \cap A \neq \{1\}$ and $\ell \cap B \neq \{1\}$.*

Proof. Suppose, towards a contradiction, that $M = A \times B$ with $\ell \cap A \neq \{1\}$ and $\ell \cap B \neq \{1\}$. We may assume, without loss of generality, that (i) A contains T_1 , and (ii) $\ell \cap A$ contains an element $x = (x_1, \dots, x_k)$ that projects non-trivially onto each simple direct factor of A (if not, then change the decomposition of M to $A' \times B'$ with $A' \leq A$ and $B' \geq B$). Take also $y \in \ell \cap B$ with $y \neq 1$. For every $a \in \text{Inn}(A) \leq \text{Inn}(M)$, we have $y^a = y$ and hence $\ell^a = \ell$, because a also fixes the point $1 \in \ell$. In particular, ℓ is fixed by every element of $\text{Inn}(T_1)$, regarded as a subgroup of $\text{Inn}(A)$. Therefore, $(z, x_2, \dots, x_k) \in \ell$ for all $z \in x_1^{T_1}$, and hence ℓ contains the group $\ell_0 := \langle (z, x_2, \dots, x_k) : z \in x_1^{T_1} \rangle$. Let π_1 denote the projection onto T_1 . Then $\pi_1(\ell_0) = \langle z : z \in x_1^{T_1} \rangle = T_1$, and hence $\pi_1(\ell) = T_1$. Also, taking $z \neq x_1$, we see that $\ell \cap T_1$ contains $(z, x_2, \dots, x_k)^{-1}x = (z^{-1}x_1, 1, \dots, 1) \neq 1$. That is, $\ell \cap T_1$ is non-trivial, and it is normal in the simple group $\pi_1(\ell) = T_1$, so $\ell \cap T_1 = T_1$ and hence $T_1 \leq \ell$. Now, G_1 acts transitively on both $\{T_1, \dots, T_k\}$ (because G is transitive on $\{T_1, \dots, T_k\}$ and $G = MG_1$) and $\{\ell_1, \dots, \ell_{t+1}\}$ (because G is flag-transitive, by Theorem 2.2). Therefore, $t + 1$ divides k , and,

without loss of generality, $\ell = \ell_1$ contains $T_{U_1} := T_1 \times \cdots \times T_{k/(t+1)}$, ℓ_2 contains $T_{U_2} := T_{k/(t+1)+1} \times \cdots \times T_{2k/(t+1)}$, and so on.

Sub-claim. $\ell = T_{U_1}$.

Proof of sub-claim. It remains to show that T_{U_1} contains ℓ . Suppose, towards a contradiction, that there exists $w \in \ell \setminus T_{U_1}$. Then there exists $i > k/(t+1)$ such that the i th component w_i of w is non-trivial, and so there exists $\sigma \in \text{Inn}(T_i)$ such that $w_i^\sigma \neq w_i$. Regarding σ as an element of $\text{Inn}(M) \leq G_1$, we see that σ fixes ℓ , because it centralises $T_1 \leq \ell$. Hence, $w^\sigma \in \ell$, and so ℓ contains the element $w^{-1}w^\sigma \in \ell \cap T_i \setminus \{1\}$. However, $T_i \leq T_{U_j} \leq \ell_j$ for some $j \neq 1$, and hence ℓ intersects ℓ_j in more than one point, a contradiction, proving the sub-claim. \square

By the sub-claim, $s+1 = |T|^u$, where $u = k/(t+1)$. Since $|T|^{(t+1)u} = |M|$, we have $(s+1)^{t+1} = (s+1)^2(st'+1)$, where $t' := (t-1)/(s+1) \leq s-1$ as before. Since $st'+1 \leq s(s-1)+1 < (s+1)^2$, this implies that $(s+1)^{t-1} < (s+1)^2$, so $t = 2$, and hence $s+1 \mid t-1 = 1$, a contradiction. \square

Claim 4.2. ℓ is isomorphic to a subgroup of T .

Proof. Let $x \in \ell \setminus \{1\}$ have minimal support U . Suppose, without loss of generality, that $x_1 := \pi_1(x) \neq 1$. Suppose further, towards a contradiction, that there exists $y \in \ell \setminus \{1\}$ with $\pi_1(y) = 1$. Then every $a \in \text{Inn}(T_1)$ fixes y and hence fixes ℓ , so ℓ contains x^a and therefore contains $x^a x^{-1} \in T_1 \cap \ell$. Taking a not in $C_T(x_1)$ makes $x^a x^{-1}$ non-trivial, and the minimality of the support U of x implies that $U = \{1\}$, so $x \in T_1$. However, the existence of y now contradicts Claim 4.1, because taking $A = T_1$ and $B = T_2 \times \cdots \times T_k$ gives $x \in \ell \cap A$ and $y \in \ell \cap B$. Hence, if x has minimal support U containing 1, then every non-trivial element of ℓ must project non-trivially onto T_1 . Therefore, ℓ is isomorphic (under projection) to a subgroup of T_1 . \square

We now use Claim 4.2 to derive a contradiction to the assumption that $k \geq 3$. By Claim 4.2, $s+1 = |\ell|$ divides $|T|$, so in particular $s+1 \leq |T|$. Writing

$$|M| = (s+1)^2(st'+1)$$

with $t' := (t-1)/(s+1) \leq s-1$ as before, we have

$$(s+1)^2 > s(s-1)+1 \geq st'+1 = \frac{|M|}{(s+1)^2} \geq \frac{|M|}{|T|^2} = |T|^{k-2} \geq (s+1)^{k-2},$$

and hence $2 > k-2$, namely $k \leq 3$.

Now suppose, towards a contradiction, that $k = 3$. Write $|T| = n(s+1)$. Then $st+1 = |M|/(s+1) = |T|^3/(s+1) = n^3(s+1)^2$, and hence $n^3 \equiv 1 \pmod{s}$. On

the other hand, we have $s^3 + 1 \geq st + 1 = n^3(s + 1)^2 > n^3s^2 + 1$, so $n^3 < s$. Therefore, $n = 1$, so $|T| = s + 1$ and $t = s + 2$. Together with Claim 4.2, this implies that ℓ is isomorphic to T . Consider first the case where ℓ is a diagonal subgroup $\{(t, t^a, t^b) : t \in T\} \leq M$ for some automorphisms $a, b \in \text{Aut}(T)$. As $(c, d) \in \text{Inn}(T_2) \times \text{Inn}(T_3) \leq G_1$ runs over all possibilities, we obtain $|T|^2$ distinct images $\ell^{(c,d)} = \{(t, t^{ac}, t^{bd}) : t \in T\}$ of ℓ . Indeed, if $\ell = \ell^{(c,d)}$, then $t^a = t^{ac}$ for all $t \in T$, or equivalently, $u = u^c$ for all $u \in T$; that is, c is the identity automorphism of T (and similarly, d is the identity). Hence, $s + 3 = t + 1 \geq (s + 1)^2$, a contradiction. Now consider the case where ℓ is a diagonal subgroup $\{(t, t^a, 1) : t \in T\} \leq T_1 \times T_2$ for some $a \in \text{Aut}(T)$. Then 3 divides $t + 1$ because G_1 is transitive on the T_i , and we have exactly $(t + 1)/3$ lines incident with 1 that are diagonal subgroups of $T_1 \times T_2$. As $c \in \text{Inn}(T_2) \leq G_1$ runs over all possibilities, we obtain $|T|$ distinct images $\ell^c = \{(t, t^{ac}, 1) : t \in T\}$ of ℓ . Hence, $(s + 3)/3 = (t + 1)/3 \geq s + 1$, a contradiction. This leaves only the possibility that $\ell \leq T_1$, and hence $\ell = T_1$ because $|\ell| = s + 1 = |T_1|$. This implies that $t + 1 = 3$, and hence $s = 0$ because $s + 1$ divides $t - 1$, a contradiction.

4.2 The case $k = 2$

Here we argue as in the case where the primitive action of G on \mathcal{P} has type HS. That is, we obtain an upper bound on $|T|$ in terms of $|\text{Out}(T)|$, and consider the possibilities for T case by case using the Classification of Finite Simple Groups. We have $M = T_1 \times T_2 \cong T^2$, and

$$|M| = (s + 1)(st + 1) = (s + 1)^2(st' + 1), \quad \text{where } 1 \leq t' \leq s - 1.$$

Therefore,

$$|T| = (s + 1)(st' + 1)^{1/2}, \quad \text{where } 1 \leq t' \leq s - 1 \text{ and } st' + 1 \text{ is a square.}$$

Writing $y^2 = st' + 1$, this is equivalent to

$$|T| = (s + 1)y, \quad \text{where } 3 \leq y^2 \leq s(s - 1) + 1 \text{ and } s \mid y^2 - 1.$$

By Theorem 2.2, $G_1 \leq \text{Aut}(M) \cong \text{Aut}(T) \wr S_2$ acts transitively on the lines incident with 1, and hence $t + 1$ divides $|\text{Aut}(M)| = 2|T|^2|\text{Out}(T)|^2$. Therefore, $|\text{Out}(T)|^2$ is divisible by

$$\frac{t + 1}{\text{gcd}(t + 1, 2|T|^2)} = \frac{t + 1}{\text{gcd}(t + 1, 2(s + 1)^2(st' + 1))}.$$

In particular, $t + 1 \leq \text{gcd}(t + 1, 2|T|^2)|\text{Out}(T)|^2$. We have

- (i) $\text{gcd}(t + 1, s + 1) = 2$, so $\text{gcd}(t + 1, 2(s + 1)^2) \leq 8$,
- (ii) $\text{gcd}(t + 1, st' + 1) = \text{gcd}(t + 1, t' + 1)$.

Hence we have $\gcd(t + 1, 2|T|^2) \leq 8(t' + 1)$, and so $t + 1 \leq 8(t' + 1)|\text{Out}(T)|^2$. Re-writing this as $t'(s + 1) + 2 \leq 8(t' + 1)|\text{Out}(T)|^2$, and noting that $t' \geq 1$, we obtain

$$s \leq 16|\text{Out}(T)|^2 - 3.$$

Higman’s inequality then gives

$$|T|^2 = |M| \leq (16|\text{Out}(T)|^2 - 2)((16|\text{Out}(T)|^2 - 3)^3 + 1).$$

The following lemma therefore rules out all but two possibilities for T .

Lemma 4.3. *Let T be a finite non-Abelian simple group satisfying*

- (a) $|T| = (s + 1)y$, where $3 \leq y^2 \leq s(s - 1) + 1$ and $s \mid y^2 - 1$,
- (b) $2 \leq s \leq 16|\text{Out}(T)|^2 - 3$,
- (c) $|T|^2 \leq (16|\text{Out}(T)|^2 - 2)((16|\text{Out}(T)|^2 - 3)^3 + 1)$.

Then one of the following holds:

- (i) $T \cong \text{Alt}_6$, $s = 19$, and $y = 18$,
- (ii) $T \cong A_2(2)$, $s = 13$, and $y = 12$.

Proof. The right-hand side of (c) is at most $(16|\text{Out}(T)|^2)^4$, so

$$|T| \leq 2^8|\text{Out}(T)|^4. \tag{4.1}$$

Since (4.1) implies (3.2), any group T that was ruled out using (3.2) in the HS case (that is, in the proof of Lemma 3.1) is automatically ruled out here. To rule out the remaining possibilities for T , we use either (4.1) or (c), or check that (a) has no solution subject to (b). Note that (a) implies $y \leq s < y^2$.

Case 1: $T \cong \text{Alt}_n$ or a sporadic simple group. If T is an alternating group other than Alt_6 , or a sporadic simple group, then $|\text{Out}(T)| \leq 2$ and so (c) implies that $|T| < 3,752$. Hence, T is one of Alt_5 , Alt_6 , or Alt_7 . If $T \cong \text{Alt}_5$, then by (a), we have $(s + 1)y = 60$ and $s \mid y^2 - 1$, which is impossible. If $T \cong \text{Alt}_7$, then we again apply (a): $(s + 1)y = 2520$, $s \mid y^2 - 1$, and $y^2 \leq s(s - 1) + 1$, which is again impossible. Finally, we examine the case $T \cong \text{Alt}_6$, where $|\text{Out}(T)| = 4$. Applying (a), we have $s = 19$, $y = 18$ as the only valid solution.

Case 2: $T \cong A_1(q)$. Suppose that $T \cong A_1(q)$, and write $q = p^f$ with p prime and $f \geq 1$. Then $|T| = q(q^2 - 1)/(2, q - 1)$, and $|\text{Out}(T)| = (2, q - 1)f$.

Suppose first that q is even, namely that $p = 2$. Then $\gcd(2, q - 1) = 1$, and (c) implies that

$$2^{2f}(2^{2f} - 1)^2 \leq (16f^2 - 2)((16f^2 - 3)^3 + 1),$$

which holds only if $f \leq 7$. If $f = 1$, then T is not simple; and if $f = 2$, then $T \cong \text{Alt}_5$, which we have already ruled out. For $3 \leq f \leq 7$, there is no solution to (a) subject to (b).

Now suppose that $q = p^f$ is odd. Then $\text{gcd}(2, q - 1) = 2$, and hence we have $|\text{Out}(T)| = 2f$. By (c), we have

$$p^{2f} (p^{2f} - 1)^2 \leq 8(32f^2 - 1)((64f^2 - 3)^3 + 1),$$

which implies that either $11 \leq p \leq 19$ and $f = 1$; $5 \leq p \leq 7$ and $f \leq 2$; or $p = 3$ and $f \leq 4$. If $q = 3$, then T is not simple; if $q = 5$, then $T \cong \text{Alt}_5$, which we have ruled out; if $q = 7$, then $T \cong A_2(2)$, which is ruled out in Case 3 below; and if $q = 9$, then $T \cong \text{Alt}_6$, which we have already dealt with in Case 1. Hence, we only need to consider $q \in \{11, 13, 17, 19, 3^3, 3^4, 5^2, 7^2\}$. For each of these values, there is no solution to (a) subject to (b).

Case 3: $T \cong A_n(q)$, $n \geq 2$. Since (4.1) implies (3.2), by comparing with the proof of Case 2 in Lemma 3.1, we see that we only need to check $T \cong A_3(3)$, and $T \cong A_2(q)$ for $q \leq 13$. The former is ruled out by (4.1), because

$$|A_3(3)| = 6,065,280 > 2^8 4^4 = 65,536.$$

For $T \cong A_2(q)$, (c) implies that

$$q^6 (q^2 - 1)^2 (q^3 - 1)^2 \leq 9 \left(\frac{576}{\ln^2(2)} \ln^2(q) - 2 \right) \left(\left(\frac{576}{\ln^2(2)} \ln^2(q) - 3 \right)^3 + 1 \right).$$

Therefore, $q \leq 10$. For $q = 2$, there is a unique solution to (a) subject to (b), namely $s = 13, t' = 11$. For $q \in \{3, 4, 5, 7, 8, 9\}$, there are no solutions to (a) subject to (b).

Case 4: $T \cong {}^2A_n(q^2)$. Since (4.1) implies (3.2), we only need to check that $T \cong {}^2A_3(q^2)$ for $2 \leq q \leq 4$, and $T \cong {}^2A_2(q^2)$ for $q \leq 13$. If $(n, q) = (3, 3)$ or $(3, 4)$, then (4.1) fails; and for $(n, q) = (3, 2)$, there are no solutions to (a) subject to (b). For $n = 2$, (c) gives

$$q^6 (q^2 - 1)^2 (q^3 + 1)^2 \leq 9 \left(\frac{576}{\ln^2(2)} \ln^2(q) - 2 \right) \left(\left(\frac{576}{\ln^2(2)} \ln^2(q) - 3 \right)^3 + 1 \right),$$

and hence $q \leq 10$. If $q = 2$, then $T \cong {}^2A_2(q^2)$ is not simple. If $q \in \{3, 4, 5, 7, 8, 9\}$, then there are no solutions to (a) subject to (b).

Case 5: Remaining possibilities for T . We only need to check the groups from Case 5 of the proof of Lemma 3.1 that were not ruled out by (3.2) or by exceptional isomorphisms to groups that have already been handled. There are only

two such cases. If $T \cong B_2(2^f)$ with $f = 2$, then, using (4.1) instead of (3.2), the 2^{16} on the right-hand side of (3.3) becomes 2^{12} , and the resulting inequality $2^{4f}(2^{2f} - 1)(2^{4f} - 1) \leq 2^{12} f^4$ fails when $f = 2$. If $T \cong {}^2B_2(2^{2n+1})$ with $n = 1$, then (4.1) fails (although (3.2) does not).

This completes the proof of Lemma 4.3. □

It remains to rule out cases (i) and (ii) from Lemma 4.3. Using $y^2 = st' + 1$, we find that $t = 341$ in case (i), and $t = 155$ in case (ii). Both cases are then ruled out because the required divisibility condition $t + 1 \mid |\text{Aut}(M)| = 2|T|^2|\text{Out}(T)|^2$ fails. (Note that $|\text{Aut}(M)| = 4,147,200$ if $T \cong \text{Alt}_6$, and $|\text{Aut}(M)| = 225,792$ if $T \cong A_2(2)$.)

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