

# A NOTE ON IDEALS IN SYNAPTIC ALGEBRAS

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*Dedicated to Professor David J. Foulis*

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**ABSTRACT.** The notion of a synaptic algebra was introduced by David Foulis. Synaptic algebras unite the notions of an order-unit normed space, a special Jordan algebra, a convex effect algebra and an orthomodular lattice. In this note we study quadratic ideals in synaptic algebras which reflect its Jordan algebra structure. We show that projections contained in a quadratic ideal from a  $p$ -ideal in the orthomodular lattice of projections in the synaptic algebra and we find a characterization of those quadratic ideals which are generated by their projections.

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## 1. Introduction

The notion of a synaptic algebra was introduced by David Foulis in [8], where also some of the basic theory was developed. A synaptic algebra is an abstract version of the partially ordered Jordan algebra of all bounded Hermitian operators on a Hilbert space. As the word ‘synaptic’ (derived from the Greek word ‘sunaptein’ meaning to join together) suggests, synaptic algebras unite the notions of an order-unit normed space [1], a special Jordan algebra [21], a convex effect algebra [15], and an orthomodular lattice [4, 18]. Examples of synaptic algebras are selfadjoint part of a von Neumann algebra [24], an AW\*-algebra

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[19], or a Rickart  $C^*$ -algebra [16], and also JW-algebras [23], AJW-algebras [23: §20], JB-algebras [2, 3], and the ordered special Jordan algebras studied in [22]. Unlike these examples a synaptic algebra need not be a Banach space under the order-unit norm. A special case of synaptic algebras are generalized Hermitian (GH) algebras introduced and studied in [12, 13]. Projections in a GH algebra form a  $\sigma$ -complete orthomodular lattice (a  $\sigma$ -OML), while in a synaptic algebra projections also form an orthomodular lattice (an OML), but this lattice need not be  $\sigma$ -complete. Properties of projections in synaptic algebras were studied in [10]. Synaptic algebras of finite rank which include all positive-definite spin factors [11], are GH-algebras.

In this article we study ideals in synaptic algebras which reflect its Jordan algebra structure. In accordance with [23], we call these ideals quadratic ideals. We show that projections contained in a quadratic ideal form a  $p$ -ideal (i.e., an ideal closed under perspectivity) in the orthomodular lattice of projections in the synaptic algebra. It is well known that a quotient of an OML with respect to a  $p$ -ideal is again an OML [4, 18]. Under a natural additional condition on the  $p$ -ideals, we find a characterization of those quadratic ideals which are generated by their projections. This characterization is similar to the characterization for ideals in weakly Rickart  $*$ -rings [5: Ch. 5].

We review the definition and basic properties of a synaptic algebra  $A$  in Section 2 below. In Section 3 we summarize important properties of the orthomodular lattice  $P$  of projections in  $A$  and of the relations between projections and symmetries in  $A$ . In Section 4 we introduce the notion of a quadratic ideal and study the relations between quadratic ideals in  $A$  and  $p$ -ideals in  $P$ .

## 2. Basic properties of a synaptic algebra

In the sequel,  $R$  is a linear associative algebra over  $\mathbb{R}$  with unity element 1,  $A$  is a vector subspace of  $R$ ,  $1 \in A$ , and  $A$  is a partially ordered real vector space with positive cone  $A^+ = \{a \in A : 0 \leq a\}$ . If  $a, b \in A$ , then the product  $ab$ , which may or may not belong to  $A$ , is understood to be the product of  $a$  and  $b$  in  $R$ . If  $a, b \in A$ , we write  $aCb$  iff  $a$  commutes with  $b$ , i.e.,  $ab = ba$ , and we define  $C(a) := \{b \in A : aCb\}$ . Also, if  $B \subseteq A$ , we define the commutant  $C(B) := \bigcap_{b \in B} C(b)$ , and if  $b \in CC(a) := C(C(a))$ , we say that  $b$  double commutes with  $a$ . The commutant  $C(A)$  is called the center of  $A$ .

**2.1. DEFINITION.** The vector subspace  $A$  of  $R$  is a *synaptic algebra* with *enveloping algebra*  $R$  [8: Definition 1.1] iff the following conditions are satisfied:

- (i) With 1 as the order unit,  $A$  is an order-unit normed space with order-unit norm  $\|\cdot\|$ .
- (ii) If  $a \in A$  then  $a^2 \in A^+$ .
- (iii) If  $a, b \in A^+$ , then  $aba \in A^+$ ; moreover, if  $aCb$ , then  $ab \in A^+$ .
- (iv) If  $a \in A$  and  $b \in A^+$ , then  $aba = 0 \implies ab = ba = 0$ .
- (v) If  $a \in A^+$ , there exists  $a^{1/2} \in A^+ \cap CC(a)$  such that  $(a^{1/2})^2 = a$ .
- (vi) If  $a \in A$ , there exists  $a^\circ \in A$  such that  $(a^\circ)^2 = a^\circ$  and, for all  $b \in A$ ,  $ab = 0 \iff a^\circ b = 0$ .
- (vii) If  $1 \leq a \in A$ , there exists  $a^{-1} \in A$  such that  $aa^{-1} = a^{-1}a = 1$ .
- (viii) If  $a, b \in A$ ,  $a_1 \leq a_2 \leq a_3 \leq \dots$  is an ascending sequence of pairwise commuting elements of  $C(b)$  and  $\lim_{n \rightarrow \infty} \|a - a_n\| = 0$ , then  $a \in C(b)$ .

In the sequel,  $A$  is a synaptic algebra with unit 1 and with enveloping algebra  $R$ . Also,  $P := \{p \in A : p = p^2\}$  is the set of all idempotents in  $A$  and  $E := \{e \in A : 0 \leq e \leq 1\}$  is the “unit interval” in  $A$ . We refer to elements  $p \in P$  as “projections” and to elements  $e \in E$  as “effects,” and we understand that both  $P$  and  $E$  are partially ordered by the restrictions of the partial order  $\leq$  on  $A$ . To avoid trivialities, we assume that  $A$  is “non-degenerate”, i.e.,  $0 \neq 1$ . Since  $A$  is nondegenerate, we can (and shall) follow the standard convention of identifying each real number  $\lambda \in \mathbb{R}$  with the element  $\lambda 1 \in A$ , so that  $\mathbb{N} \subseteq \mathbb{R} \subseteq A \subseteq R$ .

As a consequence of Definition 2.1(ii),  $A$  is a *special Jordan algebra* [21] under the Jordan product

$$a \circ b := \frac{1}{2}((a + b)^2 - a^2 - b^2) = \frac{1}{2}(ab + ba).$$

Let  $a, b \in A$ . If  $aCb$ , then  $a \circ b = ab = ba \in A$ . Also,  $a \circ 1 = a$ , so  $A$  is a *unital* Jordan algebra, and if  $1 < n \in \mathbb{N}$ , then by induction,  $a^n = a \circ a^{n-1} \in A$ , so  $A$  is closed under the formation of real polynomials in its elements.

As  $A$  is an order unit space [1: p. 69], it is required that  $A$  is *archimedean*, i.e., for all  $a, b \in A$ ,

$$[na \leq b \text{ for all } n \in \mathbb{N}] \implies a \leq 0.$$

That 1 is an *order unit* (sometimes called a *strong order unit*) in  $A$  means that

$$\text{for each } a \in A \text{ there exists } 0 < \lambda \in \mathbb{R} \text{ such that } a \leq \lambda.$$

(Recall that we are identifying  $\lambda$  with  $\lambda 1$ .) The *order-unit norm*  $\|\cdot\|$ , which is defined on  $A$  by

$$\|a\| := \inf\{0 < \lambda \in \mathbb{R} : -\lambda \leq a \leq \lambda\},$$

is a norm on the real linear space  $A$ . The positive cone  $A^+$  is norm closed in  $A$  ([8: Theorem 4.6 (iii)]), as is  $C(a)$  for every  $a \in A$  ([8: Theorem 8.11]).<sup>1</sup>

By [8: Lemma 1.6], the order-unit norm has the following properties. For all  $a, b \in A$  and all  $0 < \lambda \in \mathbb{R}$ :

- (i)  $-\|a\| \leq a \leq \|a\|$ .
- (ii)  $-b \leq a \leq b \implies \|a\| \leq \|b\|$ .
- (iii)  $\|a^2\| = \|a\|^2$ .
- (iv)  $\|a \circ b\| \leq \|a\| \|b\|$ .
- (v)  $aCb \implies \|ab\| \leq \|a\| \|b\|$ .

If  $a \in A^+$ , then the *square root*  $a^{1/2}$  of  $a$  in Definition 2.1(v) is uniquely determined and  $a^{1/2} \in CC(a)$  ([8: Theorem 2.2]). If  $a \in A$ , then  $a^2 \in A^+$  (Definition 2.1(ii)), whence  $a$  has an *absolute value*

$$|a| := (a^2)^{1/2} \in CC(a^2) \subseteq CC(a),$$

which is uniquely determined by the properties  $|a| \in A^+$  and  $|a|^2 = a^2$ .

If  $a \in A$ , then by [8: Theorem 3.3],

$$a^+ := \frac{1}{2}(|a| + a) \in A^+ \cap CC(a) \quad \text{and} \quad a^- := \frac{1}{2}(|a| - a) \in A^+ \cap CC(a);$$

moreover,  $a = a^+ - a^-$ ,  $|a| = a^+ + a^-$ ,  $a^+ a^- = a^- a^+ = 0$ .

### 3. Projections and symmetries

By [8: Theorem 5.6], under the partial order inherited from  $A$ , the set  $P$  of projections forms an orthomodular lattice (OML) with  $p \mapsto p^\perp := 1 - p$  as the orthocomplementation [4, 18]. Thus, for all  $p, q \in P$ :

- (i)  $0 \leq p \leq 1$ .
- (ii)  $p$  and  $q$  have a *supremum* (least upper bound)  $p \vee q$  and an *infimum* (greatest lower bound)  $p \wedge q$  in  $P$ .
- (iii)  $p \vee p^\perp = 1$  and  $p \wedge p^\perp = 0$ .

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<sup>1</sup>In the presence of conditions (i)–(vii) of Definition 2.1, condition (viii) is equivalent to the requirement that  $C(a)$  is norm closed for all  $a \in A$ .

(iv)  $p \leq q \implies q^\perp \leq p^\perp$ .

(v)  $(p^\perp)^\perp = p$ .

(vi)  $p \leq q \implies q = p \vee (q \wedge p^\perp)$ . By the *De Morgan laws*,

(vii)  $(p \vee q)^\perp = p^\perp \wedge q^\perp$  and  $(p \wedge q)^\perp = p^\perp \vee q^\perp$ .

Also, as  $\|p\|^2 = \|p^2\| = \|p\|$ , we have

(viii)  $p \neq 0 \iff \|p\| = 1$ .

Let  $p, q \in P$ . The projections  $p$  and  $q$  are *orthogonal*, in symbols,  $p \perp q$ , iff  $p \leq q^\perp$ . The relation  $\perp$  is symmetric, and if  $p \perp q$ , then  $p \wedge q = 0$  and  $p + q \in P$  with  $p + q = p \vee q$  ([8: Lemma 5.3]). If  $p \leq q$ , then  $q - p \in P$ ,  $p \perp (q - p)$ , and  $q - p = q \wedge p^\perp$ .

The projections  $p$  and  $q$  are said to be (Mackey) *compatible* iff there are pairwise orthogonal projections  $p_1, q_1, d \in P$  such that  $p = p_1 \vee d$  and  $q = q_1 \vee d$ . Compatibility of  $p$  and  $q$  is equivalent to the condition that  $p = (p \wedge q) \vee (p \wedge q^\perp)$ . If either  $p \leq q$  or  $p \perp q$ , it is clear that  $p$  and  $q$  are compatible. It is not difficult to show that  $p$  and  $q$  are compatible iff  $pCq$  (for instance, see [9: Lemma 3.2]); moreover,

$$pCq \implies pq = qp \in P \text{ with } pq = p \wedge q \text{ and } p \vee q = p + q - pq.$$

The following fact (*Foulis-Holland theorem*) will be used routinely in what follows: If at least two of the relations  $pCq$ ,  $pCr$ ,  $qCr$  hold, then  $p \wedge (q \vee r) = (p \wedge q) \vee (p \wedge r)$  and  $p \vee (q \wedge r) = (p \vee q) \wedge (p \vee r)$ .

Notice that the unit interval  $E$  of  $A$  is a convex effect algebra in the sense of [15]. We clearly have  $P \subseteq E$ . By [8: Th. 2.4], for  $e \in E, p \in P$  we have  $e \leq p$  iff  $e = ep$  iff  $e = pe$  iff  $e = pep$  iff  $e = ep = pe$ . Also, projections are exactly the extreme points in the convex set  $E$ . Moreover,  $p \in E$  is a projection iff whenever  $e, f, e + f \in E$ , then  $e, f \leq p$  implies  $e + f \leq p$ .

If  $a \in A$ , then the projection  $a^\circ \in P$  in Definition 2.1(vi) is called the *carrier projection* of  $a$ . By [8: Theorem 2.7 ],  $a^\circ$  is the unique projection such that, for all  $b \in A$ ,  $ab = 0 \iff a^\circ b = 0$ ; moreover, by [8: Theorem 2.10],  $a^\circ \in CC(a)$ ,  $a^\circ = |a|^\circ$ , and for all  $b \in A$ ,

$$ab = 0 \iff a^\circ b^\circ = 0 \iff b^\circ a^\circ = 0 \iff ba = 0.$$

If  $p \in P$ , then by [8: Theorem 2.10(iii)],  $a^\circ \leq p \iff a = ap$ , and by [8: Lemma 2.9(ii)]  $a = ap \iff a = pa$ . Also,  $n \in \mathbb{N} \implies (a^n)^\circ = a^\circ$ , hence there are no nonzero nilpotents in  $A$ .

For every  $a \in A$ ,  $a^\circ = (a^+)^\circ + (a^-)^\circ$ . The *signum* of  $a$ , defined by

$$\text{sgn}(a) := (a^+)^\circ - (a^-)^\circ,$$

satisfies  $\text{sgn}(a) \in CC(a)$ ,  $(\text{sgn}(a))^2 = a^\circ = (\text{sgn}(a))^\circ$ ,  $|a| = \text{sgn}(a)a$ , and  $a = |a|\text{sgn}(a)$  ([8: Theorem 3.6]). The formula  $a = |a|\text{sgn}(a)$  is called the *polar decomposition* of  $a$ .

**3.1. LEMMA.**

- (i) For any two  $a, b \in A$ ,  $(a + b)^\circ \leq a^\circ \vee b^\circ$ .
- (ii) If  $0 \leq a, b$ , then  $(a + b)^\circ = a^\circ \vee b^\circ$ .

Proof.

(i) By [8: Th. 2.7], if  $x \in A, p \in P, x^\circ \leq p \iff x = xp$ . Since  $a^\circ, b^\circ \leq a^\circ \vee b^\circ$ , we have  $(a + b)(a^\circ \vee b^\circ) = a(a^\circ \vee b^\circ) + b(a^\circ \vee b^\circ) = a + b$ , whence  $(a + b)^\circ \leq a^\circ \vee b^\circ$ .

(ii) On the other hand,  $0 \leq a, b \leq a + b$  imply  $a^\circ, b^\circ \leq (a + b)^\circ$  by [8: Theorem 2.10(viii)], hence  $a^\circ \vee b^\circ \leq (a + b)^\circ$ . □

In what follows, we need the following theorem.

**3.2. THEOREM.** ([10: Th. 2.4] *Let  $p \in P$  and define*

$$pAp := \{pap : a \in A\} = \{b \in A : b = bp = pb\}.$$

*Then  $pAp$  is norm-closed in  $A$  and, with the partial order inherited from  $A$ ,  $pAp$  is a synaptic algebra with unit  $p$  and enveloping algebra  $pRp$ . Moreover, the order-unit norm on  $pAp$  is the restriction to  $pAp$  of the order-unit norm on  $A$ , and for all  $a, b \in pAp$ , we have:  $a \circ b, a^\circ, |a|, a^+, a^-, \text{sgn}(a) \in pAp$ ,  $0 \leq a \implies a^{1/2} \in pAp$ , and  $a^\circ$  is the carrier of  $a$  as calculated in  $pAp$ .*

An element  $s \in A$  such that  $s^2 = 1$  is called a *symmetry*, and it is obvious that there is a bijective correspondence  $p \leftrightarrow s$  between projections  $p \in P$  and symmetries  $s \in A$  given by  $s = 2p - 1$  and  $p = \frac{1}{2}(s + 1)$ . If  $s$  is a symmetry, then so is  $-s$ , and if  $p \leftrightarrow s$ , then  $p^\perp \leftrightarrow -s$ . Evidently,  $1 \leftrightarrow 1, 0 \leftrightarrow -1$ , and  $\pm 1$  are the only symmetries in  $\mathbb{R} \subseteq A$ . If  $s$  is a symmetry, then  $\|s\|^2 = \|s^2\| = \|1\| = 1$ , and as  $0 \leq \frac{1}{2}(s + 1) \leq 1$ , it follows that  $-1 \leq s \leq 1$ .

An element  $t \in A$  is called a *partial symmetry* iff  $t^2 \in P$ . If  $t^2 = p \in P$ , then  $t^\circ = (t^2)^\circ = p$ , whence  $t$  is a symmetry in the synaptic algebra  $pAp$  (Theorem 3.2). Moreover,  $tp^\perp = p^\perp t = 0$ , whence  $s := t + p^\perp$  is a symmetry, and we shall refer to  $s$  as the *canonical extension* of the partial symmetry  $t$  to a symmetry  $s$ .

If  $p \in P$ , then  $s := 2p - 1 = p - p^\perp$  is a difference of two orthogonal projections. More generally, if  $p$  and  $q$  are orthogonal projections, it is clear that  $t = p - q$  is a partial symmetry with  $t^2 = p + q = p \vee q$ . Furthermore, every partial symmetry  $t$

can be written as a difference of two orthogonal projections; in fact,  $(t^+)^{\circ} \perp (t^-)^{\circ}$  with  $t = (t^+)^{\circ} - (t^-)^{\circ} = \text{sgn}(t)$ , and  $|t| = t^2 = (t^+)^{\circ} + (t^-)^{\circ} = (t^+)^{\circ} \vee (t^-)^{\circ} = t^{\circ}$ .

If  $a \in A$ , then  $t := \text{sgn}(a) = (a^+)^{\circ} - (a^-)^{\circ} \in CC(a)$  is a partial symmetry with  $t^2 = (a^+)^{\circ} + (a^-)^{\circ} = a^{\circ} = |a|^{\circ} = t^{\circ} \in CC(a)$ . If  $s := t + (t^{\circ})^{\perp}$  is the canonical extension of  $t$  to a symmetry, then since  $a(a^{\circ})^{\perp} = |a|(a^{\circ})^{\perp} = 0$ , we have  $s \in CC(a)$  with  $|a| = sa$  and  $a = s|a|$ .

If  $a, b \in A$ , then  $aba = 2a \circ (a \circ b) - a^2 \circ b \in A$ ; hence the *quadratic mapping* [8: Definition 4.1]  $J_a : A \rightarrow A$  given by

$$J_a(b) := aba \quad \text{for all } b \in A$$

is well-defined. By [8: Theorem 4.2 and Lemma 4.3],  $J_a$  is an order preserving, linear and norm-continuous mapping on  $A$ . If  $p \in P$ , then  $J_p$  is called the *compression on  $A$  with focus  $p$* . The OML of projections in the synaptic algebra  $pAp$  (Theorem 3.2) is the interval  $P[0, p] := \{q \in P : 0 \leq q \leq p\}$ , partially ordered by the restriction of the partial order on  $P$ , and with  $q \mapsto q^{\perp p} := p \wedge q^{\perp}$  as the orthocomplementation.

If  $s \in A$  is a symmetry, then  $J_s$  is called the *symmetry mapping* on  $A$ . Obviously,  $J_s$  is a synaptic automorphism of period two on  $A$ .

If  $p, q \in P$ , then by [8: Theorem 5.6]

$$(J_p(q))^{\circ} = (pqp)^{\circ} = p \wedge (q \vee p^{\perp}) = \phi_p(q),$$

i.e., the restriction to  $P$  of the mapping  $b \mapsto (J_p(b))^{\circ}$  is the Sasaki projection  $\phi_p$  on the OML  $P$ . The Sasaki mapping has the following generalization: if  $a \in A$ , the mapping  $\phi_a : P \rightarrow P$  defined by

$$\phi_a(q) := (J_a(q))^{\circ} = (aqa)^{\circ} \quad \text{for all } q \in P$$

is called the *Sasaki mapping* on  $P$  determined by  $a$ . If  $s$  is a symmetry in  $A$  and  $p \in P$ , then  $\phi_s(p) = J_s(p)$  [10: Lemma 6.3], in particular for every  $b \in A$ ,  $\phi_s(b^{\circ}) = J_s(b^{\circ}) = (sbs)^{\circ}$ .

**3.3. DEFINITION.** A symmetry  $s$  is said to *exchange projections  $e, f$*  if  $ses = f$ , i.e.,  $J_s(e) = f$ .

Clearly, if  $e, f$  are projections with  $f = ses$ , then  $e = sfs$ .

**3.4. THEOREM.** *If  $t \in A$  is a partial symmetry such that  $tet = f$  and  $tft = e$  for a pair of projections  $e, f$  then  $t$  can be extended to a symmetry  $s \in A$  exchanging  $e$  and  $f$ .*

**P r o o f.** Assume the hypotheses and let  $p := t^2$ . We have  $e = tft = t^2et^2 = pep$ , so  $e = pe = ep$ . Similarly,  $f = pf = fp$ , and hence  $p \geq e, f$ . Set  $s = t + (1 - p)$ , then  $s$  is a symmetry, the canonical extension of  $t$ . From  $(1 - p)e = 0$  we obtain that  $tet = ses = f$ .  $\square$

By [10: Corollary 6.6], the following version of the so-called *parallelogram rule* ([20: §11]) holds in  $P$ : If  $p, q \in P$ , there is a symmetry that exchanges  $(p \vee q) - p$  and  $q - (p \wedge q)$ .

In the remaining part of this section, we study relations between symmetry exchange and perspectivity. First, following Dixmier [6], we introduce the following important notions, that make sense also in synaptic algebras.

**3.5. DEFINITION.**

- (i) We say that two projections  $e$  and  $f$  are in *position  $p'$*  if  $e \wedge (1 - f) = 0 = (1 - e) \wedge f$ .
- (ii) Projections  $e$  and  $f$  are said to be in *position  $p''$*  if  $e \wedge f = 0 = (1 - e) \wedge (1 - f)$ .

**3.6. LEMMA.**

- (i) *Projections  $e$  and  $f$  are in position  $p''$  iff they are complementary;  $e$  and  $f$  are in position  $p'$  iff  $e$  and  $1 - f$  are complements, or equivalently,  $1 - e$  and  $f$  are complements.*
- (ii) *For any two projections  $e$  and  $f$ , the projections  $(e \vee f) - f$  and  $e - (e \wedge f)$  are in position  $p'$ , as are the projections  $e - (e \wedge (1 - f))$  and  $f - (f \wedge (1 - e))$ . The latter are nonzero iff  $ef \neq 0$ .*

**P r o o f.**

(i) is straightforward.

(ii) Write  $1 - e = e^\perp, e \in P$ . We have  $((e \vee f) - f) \wedge (1 - (e - (e \wedge f))) = (e \vee f) \wedge f^\perp \wedge (e^\perp \vee (e \wedge f))$ . Observe that  $e \wedge f$  commutes with  $e^\perp$  and with  $(e \vee f) \wedge f^\perp$ , therefore by the Foulis-Holland theorem we obtain  $(e \vee f) \wedge f^\perp \wedge (e^\perp \vee (e \wedge f)) = (e \vee f) \wedge ((f^\perp \wedge e^\perp) \vee f^\perp \wedge (e \wedge f)) = 0$ . The other relation in position  $p'$  is obtained by symmetry. The second statement in (ii) is obtained by replacing  $f$  by  $1 - f$ .  $\square$

**3.7. LEMMA.** *Let  $e$  and  $f$  be projections in position  $p''$ . If  $s$  is a symmetry exchanging  $e$  and  $f$  and we set  $g = (1 + s)/2$ , then  $e$  and  $g$  are in position  $p'$ , as are  $f$  and  $g$ .*



*Proof.* Let  $0 \leq x \leq e \wedge (1 - g)$ . Then  $ex = x = xe$  and  $gx = 0$ . Also  $sx = 2gx - x = -x = xs$ . Now since  $fx = sesx = -sex = -sx = x = xf$ , we have  $x \leq e \wedge f = 0$ . Hence  $e \wedge (1 - g) = 0$ . Next let  $0 \leq x \leq (1 - e) \wedge g$ . Then  $gx = x = xg$  and  $ex = 0$ . Now  $sx = sgx = (2g - 1)gx = gx = x$  so  $fx = fsx = sex = 0$  and  $x \leq (1 - e) \wedge (1 - f) = 0$ . Thus  $(1 - e) \wedge g = 0$ . The proof that  $f$  and  $g$  are in position  $p'$  is similar.  $\square$

**3.8. LEMMA.** *For projections  $e$  and  $f$  the following are equivalent:*

- (i)  $e$  and  $f$  are in position  $p'$ .
- (ii)  $e$  and  $f$  are the carrier projections of  $efe$  and  $fef$ , respectively.
- (iii) For suitably chosen projections  $g, h \in P$ ,  $e = (g \vee h) - h$  and  $f = g - (g \wedge h)$ .
- (iv) For any  $h \in G$ ,  $(efe)h = 0$  iff  $eh = 0$  and  $(fef)h = 0$  iff  $fh = 0$ .

*Proof.* Follows immediately from the definitions of position  $p'$  and carrier projection, and relations between  $\phi_s$  and  $J_s$ .  $\square$

**3.9. COROLLARY.** *If  $e$  and  $f$  are projections in position  $p'$ , then there is a symmetry  $s \in A$  that exchanges them.*

*Proof.* Follows from Lemma 3.8(iii) and the parallelogram rule.  $\square$

We recall that two elements in an OML are said to be *perspective* if they have a common complement. The following statements hold in any OML ([18]).

**3.10. LEMMA.** *If  $e, f \leq g$  have a common relative complement  $h$  in  $g$ , then  $h \vee g^\perp$  is a common complement for  $e$  and  $f$ .*

**3.11. LEMMA.** *Let  $h$  be a common complement for  $e$  and  $f$ . If  $g$  is a projection which is compatible with  $h$  and is orthogonal to  $e$  and  $f$ , then  $e \vee g$  and  $f \vee g$  are perspective with  $h \wedge g^\perp$  as a common complement.*

*Proof.* Set  $k := h \wedge g^\perp$ . Then owing to compatibility of  $g$  and  $h$ ,  $g \vee h = g \vee k$ , hence  $(e \vee g) \vee k = e \vee (g \vee h) = 1$ . Also  $(e \vee g) \wedge k = (e \vee g) \wedge g^\perp \wedge h = e \wedge h = 0$ . Similarly,  $k$  is a complement for  $f \vee g$ .  $\square$

**3.12. THEOREM.** *Let  $e, f \in P$  be exchanged by a symmetry  $s \in A$ . Then  $e$  and  $f$  are perspective in  $P$ .*

*Proof.* As  $e \wedge f \leq e, f$ , we have  $s(e \wedge f)s \leq ses, sfs$ , hence  $s(e \wedge f)s \leq e \wedge f$ . On the other hand, from  $s^2 = 1$  it follows that  $e \wedge f \leq s(e \wedge f)s$ , so  $s(e \wedge f)s = e \wedge f$ . Then we also have  $s(e - (e \wedge f))s = f - (e \wedge f)$ . Let  $p := (e - (e \wedge f)) \vee (f - (e \wedge f))$ . Then  $e - (e \wedge f)$  and  $f - (e \wedge f)$  are in position  $p'$  in the OML  $[0, p]$ . Put  $s_0 := psp$ .

It is easy to check that  $e - (e \wedge f)$  and  $f - (e \wedge f)$  are exchanged by  $s_0$ . Put  $g := (p + s_0)/2$ . Then  $g$  is a projection in  $[0, p]$ . Similarly as in Lemma 3.7 we show that  $e - (e \wedge f)$  and  $g$  are in position  $p'$  in  $pAp$ , as are  $f - (e \wedge f)$  and  $g$ . By Lemma 3.6  $e - (e \wedge f)$  and  $f - (e \wedge f)$  have a common complement  $p \wedge g^\perp = p - g$  in  $[0, p]$ . Thus by Lemma 3.10,  $e - (e \wedge f)$  and  $f - (e \wedge f)$  have  $p \wedge g^\perp \vee p^\perp = g^\perp = 1 - g$  as a common complement. But  $e \wedge f$  is orthogonal to  $e - (e \wedge f)$  and  $f - (e \wedge f)$ , and therefore commutes with  $p$ , and also with  $1 - g$ . By Lemma 3.11,  $e$  and  $f$  are perspective with  $(1 - g) \wedge (1 - (e \wedge f))$  as a common complement.  $\square$

The converse of Theorem 3.12 need not hold in general, but we have the following.

**3.13. THEOREM.** *If  $e$  and  $f$  are perspective projections then there are symmetries  $s, t \in A$  with  $tsest = f$ .*

*Proof.* If  $g$  is a common complement of  $e$  and  $f$ , then  $e$  and  $1 - g$  are in position  $p'$ , as are  $f$  and  $1 - g$  (Lemma 3.6). Thus there are symmetries  $s, t \in G$  with  $ses = 1 - g$  and  $tft = 1 - g$ , by Corollary 3.9. Hence  $f = tsest$ .  $\square$

The next theorem shows that in the special case when  $e$  and  $f$  are orthogonal, the converse of Theorem 3.12 holds.

**3.14. THEOREM.** *If  $e$  and  $f$  are orthogonal perspective projections then there is a symmetry  $v$  exchanging  $e$  and  $f$ .*

*Proof.* By Theorem 3.13 there are symmetries  $s, t$  such that  $tsest = f$ . Now let  $x := tse, x^* := est$ .<sup>2</sup> Then  $x^*x = e$  and  $xx^* = f$ . Using the relations  $xe = x = fx$  and  $x^2 = xefx = 0$ ,  $ex^* = x^*$ ,  $x^*f = x^*$ ,  $(x^*)^2 = 0$  and orthogonality of  $e$  and  $f$ , we see that  $v := x + x^* + (1 - e - f)$  is a symmetry in  $A$  exchanging  $e$  and  $f$ .  $\square$

## 4. Ideals of the synaptic algebra

In this section, we study the interplay between ideals and projections of a synaptic algebra  $A$ . The following definition is analogous to that in [24].

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<sup>2</sup>Here  $x, x^*$  belong to  $R$ , not necessarily to  $A$ , but  $x + x^* = est + tse = (e + t)s(e + t) - (ese + tst) \in A$ .

**4.1. DEFINITION.** A linear subspace  $I$  of  $A$  is called a *quadratic ideal* iff  $ada \in I$  whenever  $a \in A, d \in I$ .

**4.2. LEMMA.** A subset  $I$  of  $A$  is a quadratic ideal iff  $I$  is a Jordan ideal in  $A$ .

*Proof.* ([7]) The proof follows from the following two equalities:

$$ada = \frac{1}{2}[(a(da + ad) + (da + ad)a) - (a^2d + da^2)], \tag{1}$$

$$ad + da = (a + 1)d(a + 1) - ada - d. \tag{2}$$

□

If  $I$  is a quadratic ideal, write  $\tilde{I} := I \cap P$  for the set of all projections in  $I$ .

**4.3. THEOREM.** If  $I$  is a quadratic ideal of  $A$ , the set  $\tilde{I}$  of projections in  $I$  has the following properties:

- (i) if  $p \in \tilde{I}$  and  $q \leq p$ , then  $q \in \tilde{I}$ ;
- (ii) if  $p \in \tilde{I}$  and  $q$  and  $p$  are perspective, then  $q \in \tilde{I}$ ;
- (iii) if  $p, r \in \tilde{I}$ , then  $p \vee r \in \tilde{I}$ .

*Proof.*

(i) follows from  $q = qpq$ .

(ii) If  $p, q$  are perspective projections, then by Theorem 3.13, there are symmetries  $s, t \in A$  with  $q = (t(sps)t)$ , whence if  $p \in \tilde{I}$ , then  $q \in \tilde{I}$ .

(iii) Suppose  $p, r \in \tilde{I}$ . By (i),  $p - (p \wedge r) \in \tilde{I}$ . But  $p - (p \wedge r)$  and  $(p \vee r) - r$  are exchanged by a symmetry by the parallelogram rule, hence by (ii),  $(p \vee r) - r \in \tilde{I}$ , and whence  $p \vee r = ((p \vee r) - r) + r \in \tilde{I}$ . □

Recall that a nonempty subset  $J$  of any OML  $L$  is called a *p-ideal* of  $L$  iff it satisfies (i) - (iii) of Theorem 4.3. Alternatively, a subset  $J$  of  $L$  is a p-ideal iff (1)  $p, q \in J \implies p \vee q \in J$  and (2)  $p \in J, q \in L \implies (p \vee q^\perp) \wedge q = \phi_q(p) \in J$  [4, 18]. Theorem 4.3 asserts that projections in any quadratic ideal  $I$  of  $A$  form a p-ideal in the OML  $P$ .

Let  $V := \{a_1 a_2 \dots a_n : a_i \in A, i = 1, 2, \dots, n\} \subseteq R$  be the set of all finite products of elements of  $A$ . If  $v \in V, v = a_1 a_2 \dots a_n$ , put  $v^* := a_n a_{n-1} \dots a_1$ . If  $b \in A$ , then  $vbv^* = a_1 a_2 \dots a_n b a_n a_{n-1} \dots a_1 = J_{a_1} \circ J_{a_2} \circ \dots \circ J_{a_n}(b) \in A$ .

If  $K$  is any nonempty subset of  $A$ , it is easily seen that the set  $J$  of all finite sums  $\sum_{i=1}^n \alpha_i v_i k_i v_i^*$ , where  $\alpha_i \in \mathbb{R}, v_i \in V, k_i \in K, n \in \mathbb{N}$ , is the smallest quadratic ideal containing  $K$ , that is, the quadratic ideal generated by  $K$ . In accordance

with [5], we call a quadratic ideal  $J$  a *restricted quadratic ideal* iff  $J$  coincides with the ideal generated by  $\tilde{J}$ .

**4.4. THEOREM.** *Let  $J$  be a  $p$ -ideal in  $P$  satisfying the following additional property:*

$$p \in J, a \in A \implies \phi_a(p) = (apa)^\circ \in J. \tag{3}$$

Then the set

$$\widehat{J} := \{x \in A : x^\circ \in J\}$$

is the quadratic ideal in  $A$  generated by  $J$ .

**Proof.** Assume that  $x, y \in \widehat{J}$ , then  $x^\circ, y^\circ \in J$ , and since  $(x + y)^\circ \leq x^\circ \vee y^\circ$ , we have  $x + y \in \widehat{J}$ . If  $x \in \widehat{J}$ ,  $\alpha \in \mathbb{R}$ , then  $(\alpha x)^\circ = x^\circ$ , so  $\alpha x \in \widehat{J}$ . This proves linearity of  $\widehat{J}$ .

By [8: Cor. 3.7(ii)],  $x^\circ = |x|^\circ$ , and by [8: Theor. 3.3(viii)],  $x^\circ = (x^+)^\circ + (x^-)^\circ = (x^+)^\circ \vee (x^-)^\circ$ . Consequently,  $x \in \widehat{J}$  iff  $|x| \in \widehat{J}$  iff  $x^+, x^- \in \widehat{J}$ . Moreover, by [8: Theorem 4.9(v)], if  $0 \leq x$ , then  $(axa)^\circ = (ax^\circ a)^\circ$ .

Assume that  $x \in \widehat{J}$ ,  $a \in A$ . Then  $axa = ax^+a - ax^-a$ , and  $(x^+)^\circ, (x^-)^\circ \in J$  implies  $(ax^+a)^\circ = (a(x^+)^\circ a) \in J$ ,  $(ax^-a)^\circ = (a(x^-)^\circ a)^\circ \in J$ , and since  $(axa)^\circ \leq (ax^+a)^\circ \vee (ax^-a)^\circ \in J$ , we have  $(axa)^\circ \in J$ , hence  $axa \in \widehat{J}$ . This proves that  $\widehat{J}$  is a quadratic ideal in  $A$ .

It remains to prove that  $\widehat{J}$  is generated by  $J$ . Let  $x \in \widehat{J}$ , then  $x^\circ \in J$ , and owing to  $(x^+)^\circ, (x^-)^\circ \leq x^\circ$ , we have  $(x^+)^\frac{1}{2}x^\circ(x^+)^\frac{1}{2} = x^+$ ,  $(x^-)^\frac{1}{2}x^\circ(x^-)^\frac{1}{2} = x^-$ , so that  $x^+$  and  $x^-$  belong to the ideal generated by  $J$ , and hence also  $x = x^+ - x^-$  belongs to the ideal generated by  $J$ .  $\square$

We have the following characterization of restricted ideals.

**4.5. THEOREM.** *The following conditions on a quadratic ideal  $I$  of  $A$  are equivalent:*

- (a)  $I$  is restricted and  $\tilde{I}$  is a  $p$ -ideal satisfying (3).
- (b)  $x \in I$  implies  $x^\circ \in I$ .

**Proof.**

(b)  $\implies$  (a): If (b) holds, then  $x^\circ \in \tilde{I}$  whenever  $x \in I$ , and we show as in the proof of Theorem 4.4, that  $x$  belongs to the ideal generated by  $\tilde{I}$ . If  $p \in \tilde{I} \subseteq I$ , then for any  $a \in A$ ,  $apa \in I$  yields  $(apa)^\circ \in I$ , which shows that  $\tilde{I}$  satisfies condition (3).

(a)  $\implies$  (b): We have  $\tilde{I} \subseteq I$ . By Theorem 4.4,  $\widehat{\tilde{I}}$  is the smallest quadratic ideal containing  $\tilde{I}$ , and since by (a)  $I$  is restricted, we have  $\widehat{\tilde{I}} = I$ .  $\square$

**4.6. Remark.** By [14: Lemma 1.11, Proposition 1.12], [17: 1.4.4], the quotient of  $A$  with respect to an ideal  $I$  has the following properties. If  $I$  is a quadratic ideal of  $A$ , then the quotient  $A/I$ , endowed with the operations  $[x] + [y] = [x + y]$ ,  $\alpha[x] = [\alpha x]$ ,  $[x] \circ [y] = [x \circ y]$ ,  $x, y \in A, \alpha \in \mathbb{R}$ , becomes a real Jordan algebra. With quotient norm  $\| [x] \|_0 = \inf_{y \in [x]} \| y \|$ ,  $(A/I, \| \cdot \|_0)$  becomes a normed space which is norm-complete iff  $(A, \| \cdot \|)$  is norm-complete. The set  $(A^+ + I)/I$  defines a pre-order on  $A/I$ , and we have  $[x] \leq [y]$  iff there is  $a \in I$  such that  $x \leq y + a$ . This pre-order is a partial order if and only if  $(A^+ + I)/I$  is a (strict) cone, which happens if and only if  $I$  is order-convex, that is, if  $x, z \in I$  and  $y \in A$  with  $x \leq y \leq z$ , then  $y \in I$ . Moreover,  $[1]$  is an order unit in  $A/I$  if and only if for every  $x \in A$ , there are  $a, b \in I$  and  $\alpha, \beta \in \mathbb{R}$  such that  $\alpha + a \leq x \leq \beta + b$ .

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